

| | | | |
|---|--|--|---|
| REQUEST FOR RECORDS DISPOSITION AUTHORITY | | Job Number: N1-406-06-1 | |
| To: National Archives & Records Administration, 8601 Adelphi Road, College Park, MD 20740-6001 | | DATE RECEIVED <i>11-21-2005</i> | |
| 1. FROM (Agency or establishment) Department of Transportation | | NOTIFICATION TO AGENCY In accordance with the provisions of 44 U.S.C. 3303a, the disposition request, including amendments, is approved except for items that may be marked "disposition not approved" or "withdrawn" in column 10. | |
| 2. MAJOR SUBDIVISION Federal Highway Administration | | | |
| 3. MINOR SUBDIVISION Office of Program Administration (HIPA-10) | | | |
| 4. NAME OF PERSON WITH WHOM TO CONFER Bing Wong | 5. TELEPHONE 202-366-4651 | DATE <i>3/5/07</i> | ARCHIVIST OF THE UNITED STATES <i>Allen W. Winters</i> |
| 6. AGENCY CERTIFICATION I hereby certify that I am authorized to act for this Agency in matters pertaining to the disposition of its records and that the records proposed for disposal and/or transfer to the National Archives on the attached 2 page(s) are not now needed for the business of this Agency or will not be needed after the specified retention periods; and that written concurrence from the Government Accountability Office, under the provisions of Title 8 of the GAO manual for Guidance of Federal Agencies, <input checked="" type="checkbox"/> is not required; <input type="checkbox"/> is attached; or <input type="checkbox"/> has been requested. | | | |
| DATE 11/17/2005 | SIGNATURE OF AGENCY REPRESENTATIVE Victor S. Wagher <i>Victor S. Wagher</i> | TITLE FHWA Records Officer | |
| 7. Item No. | 8. DESCRIPTION OF ITEM AND PROPOSED DISPOSITION | 9. GRS OR SUPERSEDED JOB CITATION | 10. ACTION TAKEN (NARA USE ONLY) |

Interstate Cost Estimates (ICE)

This schedule provides one-time disposition authority for Federal Highway Administration (FHWA) records from the Office of Program Administration under the Associate Administrator for Infrastructure, that are located in the Agency.

SEE ATTACHED PAGES

Supersedes
NC1-406-80-8,
item 92

21 3/8/07 Copies Sent to Agency, NWMD, NWCTC

REQUEST FOR RECORDS DISPOSITION AUTHORITY – CONTINUATION
Job Number N1-406-05-1

Records from the Office of Program Administration under the Associate Administrator
for Infrastructure, Federal Highway Administration (FHWA)

1. Interstate Cost Estimates (ICEs)

Background

Under the leadership of President Dwight D. Eisenhower, the question of how to fund the newly created Interstate Highway System was resolved with the enactment of the Federal-Aid Highway Act of 1956. This Act served as a catalyst for the System's development and, ultimately, its completion. Title II of the Act - entitled the Highway Revenue Act of 1956 - created the Highway Trust Fund as a dedicated source of revenue for the Interstate System.

Revenue from the Federal gas and other motor-vehicle user taxes was deposited into the Highway Trust Fund to pay the Federal share of interstate and all other Federal-aid highway projects. In this way, the Act guaranteed the construction of all segments of the Interstate System on a "pay-as-you-go" basis, which satisfied one of President Eisenhower's primary requirements, namely that the Interstate System be self-financing without contributing to the Federal budget deficit.

A new method for distributing funds among the States was required by the 1956 Act and was based on each State receiving a share of the annual Interstate construction funding authorization in the same proportion as the cost to complete its system bore to the cost of the System in all States. To achieve a simultaneous completion of the Interstate System in all States, the Congress periodically required the Bureau of Public Roads (BPR), and its successor Agency, FHWA, to develop a new estimate of the cost to complete the System that would serve as the basis for apportionments until the next estimate. Between 1958 and 1991, FHWA prepared and submitted 15 legislatively mandated estimates to Congress. See <http://www.fhwa.dot.gov/programadmin/interstate.html> for further information.

Description

The Interstate Cost Estimates (ICEs), dating from 1957 to 1991, were submitted by each State to FHWA, and they show the total cost of completing the Interstate System in that State for a specific year. The information in these cost estimates was used for the Annual Report to Congress and it served as a record of the State-by-State development of the System. The ICEs are arranged chronologically (for the years 1957, 1960, 1968, 1981, 1983, and 1991), therein alphabetically by State. Each estimate is prepared in legal or letter-sized booklet form, and many are spiral-bound. The ICEs contain drawings, design classifications, and costs for

each section of an Interstate highway segment in a State. The total volume of this collection of records is approximately 24 cubic feet.

Disposition

PERMANENT. Transfer the ICE files for years 1957 to 1983 to the National Archives immediately upon approval of this schedule. Retain year 1991 until no longer needed for business needs in the Agency, and then transfer to National Archives.

Justification for change in disposition

The current disposition authority for these records, NC1-406-80-8, item 92 (see ENTO 92 at http://www.fhwa.dot.gov/legsregs/directives/orders/ento-hq_.htm), was approved by the Archivist of the United States on December 11, 1981. The original disposition statement had an "offer to NARS [now NARA]" statement indicating that FHWA's intent was for this to be a permanent record series. However, the "offer to NARS" statement was crossed through and the word "Destroy" replaced it, initialed by "RTB" (presumably the NARA appraisal archivist), on September 29, 1981.

Although the ICEs were produced by the individual State governments and the current collection is missing some years between 1957 and 1991 due to internal disposals, FHWA wants to revise the temporary disposition for this series to permanent for the following reasons:

1. The information in the ICE collection is the most complete that presumably exists. To obtain similar information, researchers would have to contact each State, hoping that the information has been preserved by each State.
2. These ICE records are an important element of the Interstate construction program. They relate to the progress of the segments, plus the apportionment of funds among the States for Interstate construction.
3. FHWA staff and external customers, such as members of the American Association of State Highway and Transportation Officials (AASHTO), use the ICE records for historical research purposes. Since the 50th anniversary of the Interstate Highway System will occur in 2006, FHWA expects that these records will be very valuable in tracing the history of this landmark System.