

<b>REQUEST FOR RECORDS DISPOSITION AUTHORITY</b>		JOB NUMBER N1-406-09-11	
To: NATIONAL ARCHIVES & RECORDS ADMINISTRATION 8601 ADELPHI ROAD COLLEGE PARK, MD 20740-6001		Date received 5/19/09	
1 FROM (Agency or establishment) U.S. Department of Transportation (DOT)		<b>NOTIFICATION TO AGENCY</b>  In accordance with the provisions of 44 U.S.C. 3303a, the disposition request, including amendments, is approved except for items that may be marked "disposition not approved" or "withdrawn" in column 10	
2 MAJOR SUBDIVISION Federal Highway Administration (FHWA)			
3 MINOR SUBDIVISION Office of Innovative Program Delivery (HIN)			
4. NAME OF PERSON WITH WHOM TO CONFER Larry R. Swartzlander	5 TELEPHONE NUMBER (202) 366-6066	DATE 9-16-09	ARCHIVIST OF THE UNITED STATES <i>Adrienne Thomas</i>
6 AGENCY CERTIFICATION I hereby certify that I am authorized to act for this agency in matters pertaining to the disposition of its records and that the records proposed for disposal on the attached <u>2</u> page(s) are not needed now for the business for this agency or will not be needed after the retention periods specified, and that written concurrence from the General Accounting Office, under the provisions of Title 8 of the GAO Manual for Guidance of Federal Agencies,  <div style="display: flex; justify-content: space-around;"> <span><input checked="" type="checkbox"/> is not required</span> <span><input type="checkbox"/> is attached, or</span> <span><input type="checkbox"/> has been requested</span> </div>			
DATE May 14, 2009	SIGNATURE OF AGENCY REPRESENTATIVE <i>Victor S. Wagner</i>	TITLE Records Officer	
7 ITEM NO	8 DESCRIPTION OF ITEM AND PROPOSED DISPOSITION	9 GRS OR SUPERSEDED JOB CITATION	10 ACTION TAKEN (NARA USE ONLY)
	This schedule covers the Transportation Infrastructure Finance and Innovation Act (TIFIA) Program Files of the TIFIA Joint Program Office, Office of Innovative Program Delivery, maintained in the Washington Headquarters Office of the Federal Highway Administration (FHWA).		

<p>1</p> <p>NARA Number 1 a.</p> <p>2 b.</p>	<p><b>Transportation Infrastructure Finance and Innovation Act (TIFIA) Program Files.</b></p> <p>The Transportation Infrastructure Finance and Innovation Act of 1998 (TIFIA) established a Federal credit program for eligible transportation projects of national or regional significance under which the U S Department of Transportation (DOT) may provide three forms of credit assistance – secured (direct) loans, loan guarantees, and standby lines of credit.</p> <p>The program's fundamental goal is to leverage Federal funds by attracting substantial private and other non-Federal co-investment in critical improvements to the nation's surface transportation system</p> <p>The DOT awards credit assistance to eligible applicants, which include State departments of transportation, transit operators, special authorities, local governments, and private entities.</p> <p>The TIFIA files consist of formal applications, project sponsor presentation materials, internal project information, notes, e-mails, financial advisors reports, legal negotiation files, closing documents, background materials, term sheets, amendments, cash flow transactions, disbursement and borrowing transaction documents, applications denied, and bound loan agreements.</p> <p>Applications denied.</p> <p>DISPOSITION: Destroy at the end of the fiscal year.</p> <p>Applications approved and related documentation.</p> <p>DISPOSITION: Cut off files after project is closed.</p> <p>(1) <u>If paper</u>. Transfer to the Federal Records Center (FRC) after cut off. Destroy 7 years after cut off.</p> <p>(2) <u>If electronic</u>. Delete 7 years after cutoff.</p>	<p>New</p>	
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