

INACTIVE - ALL ITEMS SUPERSEDED OR OBSOLETE

Schedule Number: N1-GRS-87-008

All items in this schedule are inactive. Items are either obsolete or have been superseded by newer NARA approved records schedules.

Description:

In Fiscal Year 2013, the GRS Team began a five year project to update and revise the General Records Schedules (GRS) under OMB/NARA M 12 18, Managing Government Records Directive. The old GRS was completely superseded.

See <https://www.archives.gov/records mgmt/grs> for the revised GRS, crosswalks, FAQs, tools, and other resources.

Date Reported: 4/2/2019

INACTIVE - ALL ITEMS SUPERSEDED OR OBSOLETE

REQUEST FOR RECORDS DISPOSITION AUTHORITY
(See Instructions on reverse)

LEAVE BLANK

JOB NO.

NI-GRS-87-8

DATE RECEIVED

4-6-87

TO: **GENERAL SERVICES ADMINISTRATION**
NATIONAL ARCHIVES AND RECORDS SERVICE, WASHINGTON, DC 20408

1. FROM (Agency or establishment)

NOTIFICATION TO AGENCY

National Archives and Records Administration

2. MAJOR SUBDIVISION

Office of Records Administration

3. MINOR SUBDIVISION

Records Appraisal and Disposition Division

4. NAME OF PERSON WITH WHOM TO CONFER

5. TELEPHONE EXT.

DATE

ARCHIVIST OF THE UNITED STATES

Wilda Logan Willis

724-1426

6-12-87

Frank A. Bunk

6. CERTIFICATE OF AGENCY REPRESENTATIVE

I hereby certify that I am authorized to act for this agency in matters pertaining to the disposal of the agency's records; that the records proposed for disposal in this Request of _____ page(s) are not now needed for the business of this agency or will not be needed after the retention periods specified; and that written concurrence from the General Accounting Office, if required under the provisions of Title 8 of the GAO Manual for Guidance of Federal Agencies, is attached.

A. GAO concurrence: ☐ is attached; or ☐ is unnecessary.

B. DATE 4/6/87	C. SIGNATURE OF AGENCY REPRESENTATIVE <i>Kenneth L. Rossman</i>	D. TITLE <i>Director, Records Appraisal & Disposition Division</i>
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7. ITEM NO.	8. DESCRIPTION OF ITEM (With Inclusive Dates or Retention Periods)	9. GRS OR SUPERSEDED JOB CITATION	10. ACTION TAKEN (NARS USE ONLY)
1.	<p>Addition to General Records Schedule 3, Supply and Grant Files.</p> <p>4d. Data submitted to the Federal Procurement Data System (FPDS)</p> <p>Electronic data file maintained by fiscal year, containing unclassified records of all procurements, other than small purchases, and consisting of information required under 48 CFR 4.601, for transfer to the Federal Procurement Data System.</p> <p>Destroy when five years old.</p>		<i>Like</i>

Copies sent to DCF, NDU, NNS, NNF on 6-22-87 J/L

cess to classified information, Secret, or properly submit an to understand the rements of a classifi the acquisition, or tract.

act" means any con- es, or will require, information (Conf- Top Secret) by the mployees in the per- contract. A contract ified contract even act document is not

rmation" means any aterial, regardless of n or characteristics, produced by or for, ntrol of the United ent, and determined xecutive Order 12356, 7 FR 14874, April 6, lers to require protec- uthorized disclosure, ed.

19, 1983, as amended at 1986)

Order 10865, February t 1583, February 25, 'Safeguarding Classi- Within Industry," as ecutive Order 10909, (26 FR 503, January the basi for agency erving safeguarding ssified information to

artment of Defense porated the require- xecutive Orders into ustrial Security Pro- nistered by the De- ve Service, 1900 Half shington, DC 20324, ustrial Security). The publications imple- m:

Security Regulation 0.22-R).

Security Manual for lassified Information 0.22-M).

s for the protection of ating to foreign classi-

fied contracts awarded to U.S. indus- try, and instructions for the protection of U.S. information relating to classi- fied contracts awarded to foreign firms, are prescribed in Section VIII of the ISR.

(d) Part 27, Patents, Data, and Copy- rights, contains policy and procedures for safeguarding classified information in patent applications and patents.

4.403 Responsibilities of contracting offi- cers.

(a) *Presolicitation phase.* Contract- ing officers shall review all proposed solicitations to determine whether access to classified information may be required by offerors, or by a contrac- tor during contract performance.

(1) If access to classified information of another agency may be required, the contracting officer shall—

(i) Determine if the agency is cov- ered by the DISP; and

(ii) Follow that agency's procedures for determining the security clear- ances of firms to be solicited.

(2) If the classified information re- quired is from the contracting officer's agency, the contracting officer shall follow agency procedures.

(b) *Solicitation phase.* Contracting officers shall—

(1) Ensure that the classified acqui- sition is conducted as required by the DISP or agency procedures, as appro- priate; and

(2) Include (i) an appropriate Securi- ty Requirements clause in the solicita- tion (see 4.404), and (ii) as appropriate, in solicitations and contracts when the contract may require access to classi- fied information, a requirement for se- curity safeguards in addition to those provided in the clause (52.204-2, Secu- rity Requirements).

(c) *Award phase.* Contracting offi- cers shall inform contractors and sub- contractors of the security classifica- tions and requirements assigned to the various documents, materials, tasks, subcontracts, and components of the classified contract as follows:

(1) Agencies covered by the DISP shall use the Contract Security Classi- fication Specification, DD Form 254. The contracting officer, or authorized representative, is the approving offi- cial for the form and shall ensure that

it is prepared and distributed in ac- cordance with Section VII of the ISR.

(2) Contracting officers in agencies not covered by the DISP shall follow agency procedures.

4.404 Contract clause.

(a) The contracting officer shall insert the clause at 52.204-2, Security Requirements, in solicitations and con- tracts when the contract may require access to classified information, unless the conditions specified in paragraph (d) below apply.

(b) If a cost contract (see 16.302) for research and development with an educational institution is contemplat- ed, the contracting officer shall use the clause with its Alternate I.

(c) If a construction or architect-en- gineer contract where employee iden- tification is required for security rea- sons is contemplated, the contracting officer shall use the clause with its Al- ternate II.

(d) If the contracting agency is not covered by the DISP and has pre- scribed a clause and alternates that are substantially the same as those at 52.204-2, the contracting officer shall use the agency-prescribed clause as re- quired by agency procedures.

Subpart 4.5—[Reserved]

Subpart 4.6—Contract Reporting

4.600 Scope of subpart.

This subpart prescribes uniform re- porting requirements for the Federal Procurement Data System (FPDS).

4.601 Record requirements.

(a) Each executive agency shall es- tablish and maintain for a period of 5 years a computer file, by fiscal year, containing unclassified records of all procurements, other than small pur- chases.

(b) With respect to each procure- ment carried out using competitive procedures, agencies shall be able to access from the computer file, as a minimum, the following information:

(1) The date of contract award.

(2) Information identifying the source to whom the contract was awarded.

4.602

(3) The property or services obtained by the Government under the procurement.

(4) The total cost of the procurement.

(5) Those procurements which result in the submission of a single bid or proposal so that they can be separately categorized and designated noncompetitive procurements using competitive procedures.

(c) In addition to paragraph (b) of this section with respect to each procurement carried out using procedures other than competitive procedures, agencies shall be able to access from the computer file—

(1) The reason under Subpart 6.3 for the use of such procedures; and

(2) The identity of the organization or activity which conducted the procurement.

(d) This information shall be transmitted to the Federal Procurement Data System in accordance with agency procedures.

(50 FR 52429, Dec. 23, 1985)

4.602 Federal Procurement Data System.

(a) The FPDS provides a comprehensive mechanism for assembling, organizing, and presenting contract placement data for the Federal Government. Federal agencies report data to the Federal Procurement Data Center (FPDC), which collects, processes, and disseminates official statistical data on Federal contracting. The data provide (1) a basis for recurring and special reports to the President, the Congress, the General Accounting Office, Federal executive agencies, and the general public; (2) a means of measuring and assessing the impact of Federal contracting on the Nation's economy and the extent to which small business concerns and small disadvantaged business concerns are sharing in Federal contracts; and (3) data for other policy and management control purposes.

(b) The *FPDS Reporting Manual* provides a complete list of reporting and nonreporting agencies and organizations. This manual (available at no charge from the Federal Procurement Data Center, General Services Administration, Suite 900, 4040 N. Fairfax Drive, Arlington, VA 22203, telephone

48 CFR Ch. 1 (10-1-86 Edition)

(703) 235-2141) provides the necessary instruction to the data collection point in each agency as to what data are required and how often to provide the data.

(c) Data collection points in each agency report data on SF 279, Individual Contract Action Report (over \$10,000), and SF 281, Summary of Contract Actions of \$10,000 or less, or computer-generated equivalent.

(48 FR 42113, Sept. 19, 1983. Redesignated at 50 FR 52429, Dec. 23, 1985)

Subpart 4.7—Contractor Records Retention

4.700 Scope of subpart.

This subpart provides policies and procedures for retention of records by contractors to meet the records review requirements of the Government. In this subpart, the terms "contracts" and "contractors" include "subcontracts" and "subcontractors."

4.701 Purpose.

The purpose of this subpart is to generally describe records retention requirements and to allow reductions in the retention period for specific classes of records under prescribed circumstances.

4.702 Applicability.

(a) This subpart applies to records generated under contracts that contain one of the following clauses:

(1) Examination of Records by Comptroller General (52.215-1).

(2) Audit—Sealed Bidding (52.214-26).

(3) Audit—Negotiation (52.215-2).

(b) This subpart is not mandatory on Department of Energy contracts for which the Comptroller General allows alternative records retention periods. Apart from this exception, this subpart applies to record retention periods under contracts that are subject to Chapter 137, Title 10, U.S.C., and the Federal Property and Administrative Services Act of 1949, as amended, 40 U.S.C. 471 et seq.

(48 FR 42113, Sept. 19, 1983, as amended at 50 FR 1727, Jan. 11, 1985; 50 FR 52429, Dec. 23, 1985)

Federal Acquisition

4.703 Policy.

(a) Except as contractors shall maintain records, documenting evidence of negotiation, administrative requirements of the contract, and the Comptroller General's final records. (2) 4.705 and 4.704.0 records expires first.

(b) Contractor the foregoing documents evidence of time than is required.

(1) A retention that cited in 4 any contract clause.

(2) The contractor poses, retains the and supporting period. Under the retention period the contractor's after final payment expires first.

(c) Contractor duplicate copies of documents unless it contains information record copy.

(d) Contractor intermediate data punched card comparable materials are prepared printouts or lists of the trails of the transactions and located to individual contracts and maintaining source documents.

(48 FR 42113, Sept. 19, 1983; 51 FR 2649, Jan. 11, 1986)

4.704 Calculation

(a) The retention are calculated contractor's entry is made cost to a Government contract. If a series of entries is calculated contractor's fiscal entry is made cut off the and retain the

GENERAL RECORDS SCHEDULE 3

<u>ITEM NO.</u>	<u>DESCRIPTION OF RECORDS</u>	<u>AUTHORIZED DISPOSITION</u>
3.	<u>General Correspondence Files.</u> Correspondence files of operating procurement units concerning internal operation and administration matters not covered elsewhere in this schedule.	Destroy when 2 years old.
4.	<u>Routine Procurement Files.</u> Contract, requisition, purchase order, lease, and bond and surety records, including correspondence and related papers pertaining to award, administration, receipt, inspection and payment (other than those covered in Items 1, 2, 13, and 15). a. Procurement or purchase organization copy, and related papers. (1) Transactions of more than \$10,000 and all construction contracts exceeding \$2,000. (2) Transactions of \$10,000 or less and construction contracts under \$2,000. b. Obligation copy. c. Other copies of records described above used by component elements of a procurement office for administrative purposes.	Destroy 6 years and 3 months after final payment. Destroy 3 years after final payment. (Close file at the end of the fiscal year, retain 3 years and destroy, except that files on which actions are pending shall be brought forward to the next fiscal year's files for destruction therewith.) Destroy when funds are obligated. Destroy upon termination or completion.
5.	<u>Supply Management Files.</u> Files of reports on supply requirements and procurement matters submitted for supply management purposes (other than those incorporated in case files or other	