

REQUEST FOR RECORDS DISPOSITION AUTHORITY		JOB NUMBER N1-122-98-2	
TO NATIONAL ARCHIVES & RECORDS ADMINISTRATION 8601 ADELPHI ROAD COLLEGE PARK, MD 20740-6001		Date received	
1 FROM (Agency or establishment) Federal Trade Commission		NOTIFICATION TO AGENCY	
2 MAJOR SUBDIVISION		In accordance with the provisions of 44 U.S.C. 3303a, the disposition request, including amendments, is approved except for items that may be marked "disposition not approved" or "withdrawn" in column 10	
3 MINOR SUBDIVISION			
4 NAME OF PERSON WITH WHOM TO CONFER Phyllis A. Johnson Bonnie Curtin	5 TELEPHONE NUMBER 202-326-2507	DATE 25 Sept 12	ARCHIVIST OF THE UNITED STATES 
6 AGENCY CERTIFICATION I hereby certify that I am authorized to act for this agency in matters pertaining to the disposition of its records and that the records proposed for disposal on the attached <u>1</u> page(s) are not needed now for the business for this agency or will not be needed after the retention periods specified, and that written concurrence from the General Accounting Office, under the provisions of Title 8 of the GAO Manual for Guidance of Federal Agencies,			
<input checked="" type="checkbox"/> is not required <input type="checkbox"/> is attached, or <input type="checkbox"/> has been requested			
DATE Feb 2, 2011	SIGNATURE OF AGENCY REPRESENTATIVE 		TITLE RECORDS OFFICER
7 ITEM NO	8 DESCRIPTION OF ITEM AND PROPOSED DISPOSITION	9 GRS OR SUPERSEDED JOB CITATION	10 ACTION TAKEN (NARA USE ONLY)
	1998 WNRC Project (See attached sheets)		

N1-122-98-2
Federal Trade Commission
1998 WNRC Project

Note This schedule provides one-time only disposition authority for the specific accessions listed for records stored at WNRC Similar records located elsewhere in agency custody must be scheduled through the submission of an SF 115

1. Investigation Indices, 1915-1980

Two 3 X 5 card files, one alphabetically by commodity and one alphabetically by respondent, listing investigation case numbers for Applications for Issuance of Complaint Case Files and 7-Digit Case Files

Acc 122-81-0008 Boxes 1-24 → *Confirmed at Lee's Summit, KS*

DISPOSITION Permanent Transfer to the National Archives in FY99

Justification Finding aid used in correlation with History Sheets to formal investigations in NARA custody In correlation with related History Sheets, used to document later FTC formal investigations not accessioned by NARA NOTE These cards have been filmed by FRC, using archival standards The textual records are appraised for transfer for their ease of use NARA may wish to also acquire the microfilm

2. 7-Digit and Applications for Issuance of Complaint History Sheets, 1943-1971

Oversized (24 boxes - 15 1/2 x 15 1/2 x 3 1/2, 26 boxes - 11 3/4 x 16 1/2 x 5 3/4) formal investigation history sheets, File numbers 1-8000 through 695 8351, containing case numbers, respondents' names, brief description of documents in case file regarding the proceedings, service dates, and related information documenting the history of the investigation

Acc 122-79-006 Boxes 1-50 → *Boxes 1-31 missing, boxes 32-50 at WNRC*

DISPOSITION Permanent Transfer to NARA in FY 99 unless microfilm is acquired by NARA in which case textual records may be destroyed upon notification that microfilm has been accessioned

Justification Finding aid used in correlation with Investigation Indices to formal investigations in NARA custody In correlation with related Investigation Indices, used to document later FTC formal investigations not accessioned by NARA NOTE N1-122-82-1 authorized the destruction of the history sheets for the formal investigations from 1915 to 1941 and authorized the transfer of the related microfilm to NARA I find no evidence that the film was ever transferred

3. OGC Congressional Legislation File, 74th - 93rd Congress

Requests for FTC opinions on pending legislation forwarded to the agency by Congressional Committee or MC, internal FRC memoranda, outgoing correspondence transmitting FTC

opinion, copies of proposed bills, staff reports, Chairman's congressional testimony and related records maintained by the Office of General Counsel, 1921 - 1974

Acc 122-77-001 → All 55 boxes at WNRC

DISPOSITION Permanent Transfer to NARA in FY 99

Justification Documents FTC-Congressional relations and actions to reduce and/or expand FTC's regulatory powers via public law NARA received a later part of this series as part of P-95 (transferred as General Files)

~~4. Export Trade Files, 1918 - 1956~~

~~Correspondence, corporate charters, bylaws, annual association reports, speeches, meeting minutes, and other records relating to associations reporting to FTC under the requirements of the Webb-Pomerene Export Trade Act of 1918~~

~~Acc 122-71-A5361 Boxes 1 - 47 → All 47 boxes missing~~

~~DISPOSITION Permanent Transfer to NARA in FY 99~~

~~Justification Documents the formation of export trade associations to enable American exporters to sell in foreign markets on more equal terms with foreign competitors in the post-World War I era Also contains informational value for those interested in history of foreign trade associations or any of the companies that were members thereof~~

~~5. Public Service Announcements~~

~~Four public service announcement publications as 33 1/3 rpm radio spots in heavy paper or plastic mailing enclosures, containing information for radio station program announcements Topics are: consumer rights, shopping by mail, purchasing generic drugs and buying home insulation by the "R" value~~

~~Acc 122-84-020 Boxes 1-6 → All 6 boxes missing~~

~~DISPOSITION Permanent Transfer 2 copies of each public service announcement to NARA in FY 99 Remainder to be destroyed as non-record~~

~~Justification. FTC does not regularly produce PSAs. These are unscheduled copies that were duplicates, or were returned to FTC as undeliverable mail FTC has stated that none of these currently exist in agency space~~

6. Industry-wide Investigations, 1976 - 1981

Financial data, travel itineraries and brochures, publications, transportation schedules, correspondence and memoranda constituting Category I (history) materials for 762 3079 (Travel Investigation - Unnamed Sellers and Arrangers of Travel Services) and 761 0036 (Investigation

Item withdrawn; boxes missing

Item withdrawn; boxes missing

of providers of medical services)

Acc 122-80-013 Boxes 1-63 - All boxes at WNRC
Acc 122-80-B013 Boxes 1-3 - All at WNRC, 64-66
Acc 122-80-C013 Boxes 1-36 - All at WNRC, 67-102
Acc 122-80-D013 Boxes 1-3 - All at WNRC, 103-105
Acc 122-80-E013 Boxes 1-36 - All at WNRC, 106-141
Acc 122-80-F013 Boxes 1-3 - All at WNRC, 142-144
Acc 122-80-G013 Boxes 1-36 - All at WNRC, 145-180
Acc 122-80-H013 Boxes 1-3 - All at WNRC, 181-183
Acc 122-80-I013 Boxes 1-36 - All at WNRC, 184-219
Acc 122-80-J013 Boxes 1-3 - All at WNRC, 220-222
Acc 122-80-K013 Boxes 1-36 - All at WNRC, 223-258
Acc 122-80-L013 Boxes 1-3 - All at WNRC, 259-261
Acc 122-80-M013 Boxes 1-36 - All at WNRC, 262-297
Acc 122-80-N013 Boxes 1-3 - All at WNRC, 298-300
Acc 122-80-O013 Boxes 1-2 - All at WNRC, 301-302
Acc 122-85-036 Boxes 2-11 - All at WNRC, 2-11

DISPOSITION Temporary Destroy when 25 years old

Justification Records are similar to disposable 7-Digit case files except for purpose 7-Digit case files are opened to investigate an individual respondent Industry-wide investigations are opened as an information gathering technique from which may come 7-Digit cases or rulemaking The investigation into the travel industry is c 300 c f of promotional travel materials, has no archival value, and documents an investigation that closed without a final report (FTC decided the CAB had a better chance of promulgating an enforceable rule) The investigation into physician control of Blue Shield produced a final report that permanent under a previously approved authority for publications and will transfer to NARA

7. Bureau of Economics, Economic Studies

Data, correspondence, publications, memoranda, surveys and other background material to studies of optometry and insurance conducted by the Bureau of Economics, 1979-1980

Acc 122-64A-0226 - All 116 boxes at WNRC

DISPOSITION

Temporary Destroy
when 25 years old.

Justification Accretion to NARA holdings of pre-1950 economic studies and reports

8. Office of General Counsel Closed Litigation Case Files, 1970-1989

Duplicate copies of documents submitted for the official case file for litigated cases May also include working notes, correspondence and memoranda

Acc 122-80-0023 Boxes 18-22 - All at WNRC
Acc 122-82-0063 Boxes 1-30 - Missing
Acc 122-83-0010 Boxes 1-18 - All at WNRC
Acc 122-85-0005 Boxes 1-42 - All at WNRC
Acc 122-85-0033 Boxes 1-25 - All at WNRC
Acc 122-85-0034 Boxes 1-33 - All at WNRC
Acc 122-87-0012 Boxes 1-12 - All at WNRC
Acc 122-90-0004 Boxes 1-16 - PW to FTC, 2/2005
Acc 122-91-0004 Boxes 1-16 - Missing

DISPOSITION Temporary Destroy when 25 years old

Justification The permanent record is in the official case file

9. P (Project) Files

Questionnaires, text results, published materials (non-record), respondent data, briefs, transcripts, survey forms, petitions, memoranda, correspondence and related background materials for various FTC projects, 1980-1986

Acc 122-88-0019 Boxes 1-80 - All 80 boxes at WNRC
Acc 122-89-0003 Boxes 2-7 - Missing

DISPOSITION Temporary Destroy when 25 years old

Justification Background materials for these non-case related studies, projects, and surveys are not worthy of archival retention. The final published report or staff paper (permanent under another authority) should be transferred to NARA and will be enough essential evidence for these projects.

10. Merger Closings, pre-1958

News clippings, correspondence, memoranda, forms, assignment sheets and related records documenting FTC's investigations of mergers or acquisitions to ensure such actions did not create a monopoly or diminish competition. These records were created and maintained in the Bureau of Economics c 1950-1958

Acc. 122-71-E2001 Boxes 53-82 - All at WNRC

DISPOSITION Temporary Destroy upon approval of schedule

Justification All current premerger records at FTC are disposable. These older records are information gathered to see if a case should be opened. If a case was opened, the official record is the case file. If no case was opened, no fault was found in the respondent's action. There is no archival reason to maintain these records.

Item withdrawn; boxes missing

11. Professional Health Services Correspondence, 1979-1983

Incoming and outgoing general and Congressional correspondence file for Walter T Winslow, Jr, Assistant Director of the Bureau of Competition, relating to professional health care issues

Acc 122-87-0001 Boxes 1-2 - *Missing*

Acc 122-87-0002 Boxes 1-3 - *Missing*

DISPOSITION. Temporary Destroy upon approval of schedule

Justification Congressional and regular correspondence official FTC files are maintained in the Secretary's office

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