

National Archives and Records Administration  
REQUEST FOR DISPOSITION AUTHORITY

Records Schedule Number: DAA-0173-2021-0027

Status: APPROVED  
Date Approved: 05/19/2026

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## General Information

Agency or Establishment	Federal Communications Commission
Record/Scheduling Group	0173 - Records of the Federal Communications Commission
Records Schedule Applies To	Agency-wide
Schedule Subject	Rate of Return Records (FCC Form 492)
Additional Schedule Information	<p>Filing of FCC Form 492 is required by Section 65.600 of the FCC's rules. The annual filing of FCC Form 492 is required from the National Exchange Carrier Association (NECA) collectively for carriers that participate in both its tariffs and revenue-sharing pools and each local exchange carrier that is subject to section 61.38 of the Commission's Rules and which has filed individual access tariffs during the enforcement period, excluding carriers that elected incentive regulation for Business Data Services (BDS) pursuant to the Rate-of-Return (BDS) Order, WC Docket No. 16-43 et al., Report and Order, 33 FCC Rcd 10403 (2018), NECA and each local exchange carrier subject to the previously stated section shall file their annual FCC Form 492 with the Commission. These carriers are also required to file within 15 months after the end of each calendar year a report reflecting any corrections or modifications. A copy of each report must be retained in the principal office of the respondent and shall be filed in such manner as to be readily available for reference and inspection.</p>
Is There a Classified Version of This Schedule?	No
Is consultation and coordination with Tribal Governments required?	Exempt from requirement - Independent Regulatory Agency

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## Item Count

Total number of disposition items: 1

Number of Temporary disposition items: 1

Number of Permanent disposition items: 0

Number of Items with Disposition Not Approved: 0

Number of Inactive disposition items: 0

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Outline of Records Schedule Items for DAA-0173-2021-0027

<b>Item #</b>	<b>Title</b>	<b>Disposition</b>
0001	Rate of Return Records (FCC Form 492)	Temporary

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Records Schedule Items

<b>DAA-0173-2021-0027-0001</b>		<b>STATUS: Active</b>						
<b>ITEM GENERAL INFORMATION</b>								
Item Title	Rate of Return Records (FCC Form 492)							
Item Description	<p>These records consist of Form 492 submissions, supporting documentation and reports. Annual submissions of data including revenues, expenses, taxes, rates of return and refunds from filing carriers or the National Exchange Carrier Association. Documentation including but not limited to filing instructions, definitions, and reporting procedures. Reports are filed with the Office of the Secretary and with the Office of Economics and Analytics (OEA). Filers fill an electronic pdf, print, and mail Form 492 to FCC.</p> <p>Code of Federal Regulations (CFR): The Communications Act of 1934 - 47 U.S.C § 43, 160, 161, 219(b)-220. Section 220 of the Communications Act of 1934, as amended, 47 § USC 220.</p>							
Is this item media neutral?	Yes							
Is this item a Big Bucket?	No							
<b>SUPERSEDED AGENCY DISPOSITION AUTHORITIES AND GRS DEVIATIONS</b>								
Does this item supersede existing disposition authorities?	Yes							
Superseded Item	<table style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="text-align: left; border-bottom: 1px solid black;">Superseded Item</th> <th style="text-align: left; border-bottom: 1px solid black;">Superseded Items</th> <th style="text-align: left; border-bottom: 1px solid black;">Explanation</th> </tr> </thead> <tbody> <tr> <td style="padding: 5px;">N1-173-90-001 / 77</td> <td style="padding: 5px;">No</td> <td style="padding: 5px;"></td> </tr> </tbody> </table>		Superseded Item	Superseded Items	Explanation	N1-173-90-001 / 77	No	
Superseded Item	Superseded Items	Explanation						
N1-173-90-001 / 77	No							
Is this item a deviation from the GRS?	No							
<b>DISPOSITION INSTRUCTION</b>								
Final Disposition	Temporary							
Cutoff Instructions	Cut off at end of Calendar year.							
Retention Period	Destroy 10 year(s) after cutoff							
<b>ADDITIONAL INFORMATION</b>								
Are any of the records covered by this item national security classified?	No							
GAO Approval Required	No							

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Signatory Information

Action	User	Date
Approve	Edward Forst (Acting Archivist)	05/19/2026



Office of the Chief  
Records Officer for the  
U.S. Government

This schedule was signed outside of the ERA system using Standard Form 115.

NARA staff updated ERA to reflect this approval, moving the record schedule into an approved status. The approved status allows for generation of a PDF indicating that the schedule has been approved, and allows an agency to use the schedule in ERA to create transfer requests. The approved date in the system and on the PDF version of the records schedule reflects the system actions.