

INACTIVE - ALL ITEMS SUPERSEDED

NATIONAL ARCHIVES AND RECORDS ADMINISTRATION
Request for Records Disposition Authority

Records Schedule: **DAA-0266-2016-0001**

Request for Records Disposition Authority

Records Schedule Number DAA-0266-2016-0001

Schedule Status Modified Approved Version

Agency or Establishment Securities and Exchange Commission

Record Group / Scheduling Group Records of the Securities and Exchange Commission

Records Schedule applies to Major Subdivision

Major Subdivision Division of Corporation Finance

Schedule Subject Confidential Treatment Request (CTR) Materials

Internal agency concurrences will be provided No

Background Information CTR Supplemental Materials. Materials, other than unredacted exhibits, submitted with confidential treatment requests pursuant to 17 CFR 230.406 or 17 CFR 240.24b-2 and staff work products created during the processing of the requests.

Item Count

Number of Total Disposition Items	Number of Permanent Disposition Items	Number of Temporary Disposition Items	Number of Withdrawn Disposition Items
1	0	1	0

GAO Approval

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Outline of Records Schedule Items for DAA-0266-2016-0001

Sequence Number	
1	Confidential Treatment Request (CTR) Supplemental Materials Disposition Authority Number: DAA-0266-2016-0001-0001

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Records Schedule: **DAA-0266-2016-0001**

Records Schedule Items

Sequence Number	
1	<p>Confidential Treatment Request (CTR) Supplemental Materials</p> <p>Disposition Authority Number DAA-0266-2016-0001-0001</p> <p>Materials, other than unredacted exhibits, submitted with confidential treatment requests pursuant to 17 CFR 230.406 or 17 CFR 240.24b-2 and staff work products created during the processing of the requests.</p> <p>Final Disposition Temporary</p> <p>Item Status Inactive</p> <p>Is this item media neutral? Yes</p> <p>Do any of the records covered by this item currently exist in electronic format(s) other than e-mail and word processing? Yes</p> <p>Do any of the records covered by this item exist as structured electronic data? Yes</p> <p>GRS or Superseded Authority Citation DAA-0266-2014-0001-0002</p> <p>Inactive Status Explanation This item is inactive because it was superseded by New Disposition Authority Number: DAA-0266-2019-0002-0001</p> <p>Disposition Instruction</p> <p>Cutoff Instruction Cutoff at the end of the calendar year after the last expiration date on the order.</p> <p>Retention Period Destroy/delete after cutoff, or 3 years after the issuance date of the order, whichever is later.</p> <p>Additional Information</p> <p>GAO Approval Not Required</p>

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Agency Certification

I hereby certify that I am authorized to act for this agency in matters pertaining to the disposition of its records and that the records proposed for disposal in this schedule are not now needed for the business of the agency or will not be needed after the retention periods specified.

Signatory Information

Date	Action	By	Title	Organization
10/16/2015	Certify	Curt Francisco	Records Officer	Office of Support - Office of Records Management Services
12/21/2015	Submit for Concurrency	Lloyd Beers	Appraisal Archivist	National Archives and Records Administration - ACNR Records Management Services
01/04/2016	Concur	Margaret Hawkins	Director of Records Management Services	National Records Management Program - ACNR Records Management Services
01/06/2016	Concur	Laurence Brewer	Director, National Records Management Program	National Archives and Records Administration - National Records Management Program
01/08/2016	Approve	David Ferriero	Archivist of the United States	Office of the Archivist - Office of the Archivist

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