

INACTIVE - ALL ITEMS SUPERSEDED OR OBSOLETE

Schedule Number: N1-266-11-004

All items in this schedule are inactive. Items are either obsolete or have been superseded by newer NARA approved records schedules.

Explanation / Description:

This schedule is superseded by GRS 5.5 Item 090 (DAA-GRS-2017-0006-0012) and GRS 5.5 Item 020 (DAA-GRS 2017-0003-0002).

Date Reported: 01/25/2021

INACTIVE - ALL ITEMS SUPERSEDED OR OBSOLETE

REQUEST FOR RECORDS DISPOSITION AUTHORITY			LEAVE BLANK (NARA use only)		
TO: NATIONAL ARCHIVES & RECORDS ADMINISTRATION 8601 ADELPHI ROAD COLLEGE PARK, MD 20740-6001			JOB NUMBER <u>11-266-11-4</u> Date received <u>5/12/2011</u>		
1 FROM (Agency or establishment) U. S. Securities and Exchange Commission			NOTIFICATION TO AGENCY In accordance with the provisions of 44 U.S.C. 3303a, the disposition request, including amendments, is approved except for items that may be marked "disposition not approved" or "withdrawn" in column 10.		
2 MAJOR SUBDIVISION Office of Administrative Services					
3 MINOR SUBDIVISION					
4 NAME OF PERSON WITH WHOM TO CONFER Larry Mills		5 TELEPHONE NUMBER (202) 551-7202		DATE <u>17 NOV 11</u> ARCHIVIST OF THE UNITED STATES	
6 AGENCY CERTIFICATION I hereby certify that I am authorized to act for this agency in matters pertaining to the disposition of its records and that the records proposed for disposal on the attached <u>0</u> page(s) are not needed now for the business for this agency or will not be needed after the retention periods specified, and that written concurrence from the General Accounting Office, under the provisions of Title 8 of the GAO Manual for Guidance of Federal Agencies, <div style="text-align: center; margin-top: 20px;"> <input checked="" type="checkbox"/> is not required <input type="checkbox"/> is attached; or <input type="checkbox"/> has been requested </div>					
DATE <u>9/2/11</u>		SIGNATURE OF AGENCY REPRESENTATIVE 		TITLE Records Officer	
7 ITEM NO	8 DESCRIPTION OF ITEM AND PROPOSED DISPOSITION	9 GRS&R SUPERSEDED JOB CITATION	10 ACTION TAKEN (NARA USE ONLY)		
	Closed Circuit Television System - CCTV 1 CCTV Master File - provides visual monitoring, alarm/event detection, and records images of designated interior and some exterior areas of SEC facilities DISPOSITION - Temporary Destroy/Delete two (2) weeks after images are recorded NOTE This item supersedes GRS 21, item 18, which has a 6 month retention period. The CCTV system was not designed to retain the digitally recorded images longer than 2 weeks 2 Records Consisting of Extracted Information - Electronic files consisting solely of records extracted from a single master file or data base that is disposable under GRS 20 or approved for deletion by a NARA-approved disposition schedule, EXCLUDING extracts that are produced as disclosure-free files to allow public access to the data, or produced by an extraction process which changes the informational content of the source master file or data base, which may not be destroyed before securing NARA approval. For print and technical reformat files see items 6 and 7 of this schedule respectively DISPOSITION - Delete when the agency determines that they are no longer needed for administrative, legal, audit, or other operational purposes (N1-GRS 5-2 item 5)	GRS 20/5			