

INACTIVE - ALL ITEMS SUPERSEDED OR OBSOLETE

Schedule Number: NC1-266-82-03

All items in this schedule are inactive. Items are either obsolete or have been superseded by newer NARA approved records schedules.

Description:

This schedule is inactive for reasons listed below.

NC1-266-82-03, Item 001 obsolete because the agency no longer creates these records

NC1-266-82-03, Item 002 is superseded by DAA-0266-2016-0002-0003

REQUEST FOR RECORDS DISPOSITION AUTHORITY
(See Instructions on reverse)

23 Jul 82
LEAVE BLANK

JOB NO

NCI-266-82-3

DATE RECEIVED

July 26, 1982

NOTIFICATION TO AGENCY

In accordance with the provisions of 44 U.S.C. 3303a the disposal request, including amendments, is approved except for items that may be stamped "disposal not approved" or "withdrawn" in column 10

June 8, 82 *Peter H. Ware*
Date Archivist of the United States

TO GENERAL SERVICES ADMINISTRATION,
NATIONAL ARCHIVES AND RECORDS SERVICE, WASHINGTON, DC 20408

1 FROM (AGENCY OR ESTABLISHMENT)

Securities and Exchange Commission

2 MAJOR SUBDIVISION

Office of Applications and Reports Services

3 MINOR SUBDIVISION

4 NAME OF PERSON WITH WHOM TO CONFER

Charles A. Moore

(After 7/30/82)

5 TEL EXT

523-5550

(272-7216)

6 CERTIFICATE OF AGENCY REPRESENTATIVE

I hereby certify that I am authorized to act for this agency in matters pertaining to the disposal of the agency's records, that the records proposed for disposal in this Request of 2 page(s) are not now needed for the business of this agency or will not be needed after the retention periods specified

☐ A Request for immediate disposal

☒ B Request for disposal after a specified period of time or request for permanent retention.

C DATE	D SIGNATURE OF AGENCY REPRESENTATIVE	E TITLE
7/16/82	<i>Charles A. Moore</i>	Charles A. Moore Records Officer

7 ITEM NO	8 DESCRIPTION OF ITEM (With Inclusive Dates or Retention Periods)	9 SAMPLE OR JOB NO	10 ACTION TAKEN
1.	<p>The Securities and Exchange Commission was created under authority of the Securities Exchange Act of 1934 (48 Stat. 881; 15 U.S.C. 78a to 78jj), and was organized on July 2, 1934. The general objective of the statutes administered by the Securities and Exchange Commission is to provide the fullest possible disclosure to the investing public, and protect the interests of the public and investors against malpractice in the securities and financial markets.</p> <p>The files listed are not covered by any existing disposition schedules.</p> <p>SEC File No. 81-1, -3</p> <p>Exemptions from registration under section 12(g) of the Securities Exchange Act of 1934. [17 CFR 240.12h-2)</p> <p>Destroy when 10 years old.</p>		

2 items

Mass Data Change Sheet Not Required
Closed out: 12-14-82:CM
[unclear] to NARA - [unclear] to NARA

REQUEST FOR AUTHORITY TO DISPOSE OF RECORDS—Continuation Sheet

7. ITEM NO.	8 DESCRIPTION OF ITEM (WITH INCLUSIVE DATES OR RETENTION PERIODS)	9. SAMPLE OR JOB NO	10 ACTION TAKEN
2.	<p>11/11/82 CAM SEC File No. 500-1, 500-1-MZ, 500-3, 500-5</p> <p>Suspension of trading of securities other than on a national securities exchange [48 Stat. 881, 15 U.S.C., section 15(c)(5)]</p> <p>Destroy when 10 years old.</p>		