

REQUEST FOR RECORD DISPOSITION AUTHORITY
(See Instructions on reverse)

TO **GENERAL SERVICES ADMINISTRATION,
NATIONAL ARCHIVES AND RECORDS SERVICE, WASHINGTON, DC 20408**

1 FROM (AGENCY OR ESTABLISHMENT)
Securities and Exchange Commission

2 MAJOR SUBDIVISION
Office of Applications and Reports Services

3 MINOR SUBDIVISION

4 NAME OF PERSON WITH WHOM TO CONFER
Charles A. Moore

5 TEL EXT
272-7216

LEAVE BLANK	
JOB NO	NC1-266-83-1
DATE RECEIVED	11-1-82
NOTIFICATION TO AGENCY	
In accordance with the provisions of 44 U.S.C. 3303a the disposal request including amendments, is approved except for items that may be stamped "disposal not approved" or "withdrawn" in column 10	
WITHDRAWN	
Date	Archivist of the United States

6 CERTIFICATE OF AGENCY REPRESENTATIVE

I hereby certify that I am authorized to act for this agency in matters pertaining to the disposal of the agency's records, that the records proposed for disposal in this Request of 12 page(s) are not now needed for the business of this agency or will not be needed after the retention periods specified.

A Request for immediate disposal.

B Request for disposal after a specified period of time or request for permanent retention

C. DATE 10/26/82	D. SIGNATURE OF AGENCY REPRESENTATIVE <i>Charles A. Moore</i>	E. TITLE Charles A. Moore Records Officer
----------------------------	--	---

7 ITEM NO	8 DESCRIPTION OF ITEM (With Inclusive Dates or Retention Periods)	9 SAMPLE OR JOB NO	10 ACTION TAKEN
1.	<p>The Securities and Exchange Commission was created under authority of the Securities Exchange Act of 1934 (48 Stat. 881; 15 U.S.C. 78a to 78jj), and was organized on July 2, 1934. The general objective of the statutes administered by the Securities and Exchange Commission is to provide the fullest possible disclosure to the investing public, and protect the interests of the public and investors against malpractice in the securities and financial markets.</p> <p>The Commission, on March 2, 1977, adopted a Records Control Schedule [17 CFR 200.80f], and at the same time authorized the disposal of certain files no longer needed in the ordinary course of business. An examination of these records indicates that the material is duplicate copies of files and/or material either no longer required, or the program has been completed, and were erroneously classified under NC-266-76/1 and NC1-266-77/2, and that such files can be disposed of immediately. These records are located in the New York Federal Archives and Records Center.</p> <p>Investors Correspondence Files</p> <p>ACCESSION NO. 266-55A0025 NYC</p>		WITHDRAWN 120 7/87

*closed
7/7/83
MH*

Request for Records Disposition Authority - Continuation

JOB NO

PAGE OF
3 of 12

7 ITEM NO	8 DESCRIPTION OF ITEM (With Inclusive Dates or Retention Periods)	9 SAMPLE OR JOB NO	10 ACTION TAKEN
11.	Corporate Reorganization Files (48-64) ACCESSION NO. 266-66B0448 NYC		WITHDRAWN
12.	Corporate Reorganization Files (43-64) ACCESSION NO. 266-66A0596 NYC		WITHDRAWN
13.	Corporate Reorganization Files (43-64) ACCESSION NO. 266-66B0596 NYC		WITHDRAWN
14.	Investigation Case Files (50-69) ACCESSION NO. 266-72AA193 NYC		WITHDRAWN
15.	Investigation Case Files (50-69) ACCESSION NO. 266-72BA193 NYC		WITHDRAWN
16.	Investigation Case Files (50-69) ACCESSION NO. 266-72CA193 NYC		WITHDRAWN
17.	Investigation Case Files (50-69) ACCESSION NO. 266-72DA193 NYC		WITHDRAWN
18.	Investigation Case Files (50-69) ACCESSION NO. 266-72AB193		WITHDRAWN
19.	Investigation Case Files (50-69) ACCESSION NO. 266-72BB193 NYC		WITHDRAWN
20.	Investigation Case Files (50-69) ACCESSION NO. 266-72CB193 NYC		WITHDRAWN

115-203

Four copies, including original, to be submitted to the National Archives

STANDARD FORM 115-A
 Revised July 1974
 Prescribed by General Services
 Administration
 FPMR (41 CFR) 101-11.4

Request for Records Disposition Authority - Continuation

JOB NO

PAGE OF

4 of 12

7 ITEM NO	8 DESCRIPTION OF ITEM (With Inclusive Dates or Retention Periods)	9 SAMPLE OR JOB NO	10 ACTION TAKEN
21.	Investigation Case Files (50-69) ACCESSION NO. 266-72DB193 NYC		WITHDRAWN
22.	Investigation Case Files (50-69) ACCESSION NO. 266-72AC193 NYC		WITHDRAWN
23.	Investigation Case Files (50-69) ACCESSION NO. 72BC193 NYC		WITHDRAWN
24.	Investigation Case Files (50-69) ACCESSION NO. 266-72CC193 NYC		WITHDRAWN
25.	Investigation Case Files (50-69) ACCESSION NO. 266-72AD193 NYC		WITHDRAWN
26.	Investigation Case Files (50-69) ACCESSION NO. 266-72BD193 NYC		WITHDRAWN
27.	Investigation Case Files (50-69) ACCESSION NO. 266-72CD193 NYC		WITHDRAWN
28.	Investigation Case Files (50-69) ACCESSION NO. 266-72AE193 NYC		WITHDRAWN
29.	Investigation Case Files (50-69) ACCESSION NO. 266-72BE193 NYC		WITHDRAWN
30.	Investigation Case Files (50-69) ACCESSION NO. 266-72CE193 NYC		WITHDRAWN
31.	Investigation Case Files (50-69) ACCESSION NO. 266-72AF193 NYC		WITHDRAWN

Request for Records Disposition Authority - Continuation		JOB NO	PAGE OF 5 of 12
7 ITEM NO	8 DESCRIPTION OF ITEM (With Inclusive Dates or Retention Periods)	9 SAMPLE OR JOB NO	10 ACTION TAKEN
32.	Investigation Case Files (50-69) ACCESSION NO. 266-72AF193 NYC		WITHDRAWN
33.	Investigation Case Files (50-69) ACCESSION NO. 266-72BF193 NYC		WITHDRAWN
34.	Investigation Case Files (50-69) ACCESSION NO. 266-72CF193 NYC		WITHDRAWN
35.	Investigation Case Files (50-69) ACCESSION NO. 266-72AG193 NYC		WITHDRAWN
36.	Investigation Case Files (50-69) ACCESSION NO. 266-72BG193 NYC		WITHDRAWN
37.	Investigation Case Files (50-69) ACCESSION NO. 266-72CG193 NYC		WITHDRAWN
38.	Investigation Case Files (50-69) ACCESSION NO. 266-72AH193 NYC		WITHDRAWN
39.	Investigation Case Files (50-69) ACCESSION NO. 266-72BH193 NYC		WITHDRAWN
40.	Investigation Case Files (50-69) ACCESSION NO. 266-72CH193 NYC		WITHDRAWN
41.	Investigation Case Files (50-69) ACCESSION NO. 266-72AI193 NYC		WITHDRAWN
42.	Investigation Case Files (50-69) ACCESSION NO. 266-72BI193 NYC		WITHDRAWN
43.	Investigation Case Files (50-69) ACCESSION NO. 266-72CI193 NYC		WITHDRAWN
44.	Investigation Case Files (50-69) ACCESSION NO. 266-72AJ193 NYC		WITHDRAWN

Request for Records Disposition Authority – Continuation		JOB NO	PAGE OF 6 of 12
7 ITEM NO	8 DESCRIPTION OF ITEM (With Inclusive Dates or Retention Periods)	9 SAMPLE OR JOB NO	10 ACTION TAKEN
45.	Investigation Case Files (50-69) ACCESSION NO. 266-72BJ193 NYC		WITHDRAWN
46.	Investigation Case Files (50-69) ACCESSION NO. 266-72CJ193 NYC		WITHDRAWN
47.	Investigation Case Files (50-69) ACCESSION NO. 266-72AK193 NYC		WITHDRAWN
48.	Investigation Case Files (50-69) ACCESSION NO. 266-72BK193 NYC		WITHDRAWN
49.	Investigation Case Files (50-69) ACCESSION NO. 266-72CK193 NYC		WITHDRAWN
50.	Investigation Case Files (50-69) ACCESSION NO. 266-72AL193 NYC		WITHDRAWN
51.	Investigation Case Files (50-69) ACCESSION NO. 266-72BL193 NYC		WITHDRAWN
52.	Investigation Case Files (50-69) ACCESSION NO. 266-72CL193 NYC		WITHDRAWN
53.	Investigation Case Files (50-69) ACCESSION NO. 266-72AM193 NYC		WITHDRAWN
54.	Investigation Case Files (50-69) ACCESSION NO. 266-72BM193 NYC		WITHDRAWN
55.	Investigation Case Files (50-69) ACCESSION NO. 266-72CM193 NYC		WITHDRAWN

Request for Records Disposition Authority – Continuation		JOB NO	PAGE OF 7 of 12
7 ITEM NO	8 DESCRIPTION OF ITEM (With Inclusive Dates or Retention Periods)	9 SAMPLE OR JOB NO	10 ACTION TAKEN
56.	Investigation Case Files (50-69) ACCESSION NO. 266-72AN193 NYC		WITHDRAWN
57.	Investigation Case Files (50-69) ACCESSION NO. 266-72BN193 NYC		WITHDRAWN
58.	Investigation Case Files (50-69) ACCESSION NO. 266-72CN193 NYC		WITHDRAWN
59.	Investigation Case Files (50-69) ACCESSION NO. 266-72AO193 NYC		WITHDRAWN
60.	Investigation Case Files (50-69) ACCESSION NO. 266-72BO193 NYC		WITHDRAWN
61.	Investigation Case Files (50-69) ACCESSION NO. 266-72CO193 NYC		WITHDRAWN
62.	Investigation Case Files (50-69) ACCESSION NO. 266-72AP193 NYC		WITHDRAWN
63.	Investigation Case Files (50-69) ACCESSION NO. 266-72BP193 NYC		WITHDRAWN
64.	Investigation Case Files (50-69) ACCESSION NO. 266-72CP193 NYC		WITHDRAWN
65.	Investigation Case Files (50-69) ACCESSION NO. 266-72AQ193 NYC		WITHDRAWN
66.	Investigation Case Files (50-69) ACCESSION NO. 266-72BQ193 NYC		WITHDRAWN
67.	Investigation Case Files (50-69) ACCESSION NO. 266-72CQ193 NYC		WITHDRAWN

Request for Records Disposition Authority – Continuation		JOB NO	PAGE OF 8 of 12
7 ITEM NO	8 DESCRIPTION OF ITEM (With Inclusive Dates or Retention Periods)	9 SAMPLE OR JOB NO	10 ACTION TAKEN
68.	Investigation Case Files (50-69) ACCESSION NO. 266-72AR193 NYC		WITHDRAWN
69.	Investigation Case Files (50-69) ACCESSION NO. 266-72BR193 NYC		WITHDRAWN
70.	Investigation Case Files (50-69) ACCESSION NO. 266-72CR193 NYC		WITHDRAWN
71.	Investigation Case Files (50-69) ACCESSION NO. 266-72AS193 NYC		WITHDRAWN
72.	Investigation Case Files (50-69) ACCESSION NO. 266-72BS193 NYC		WITHDRAWN
73.	Investigation Case Files (50-69) ACCESSION NO. 266-72CS193 NYC		WITHDRAWN
74.	Investigation Case Files (50-69) ACCESSION NO. 266-72AT193 NYC		WITHDRAWN
75.	Investigation Case Files (50-69) ACCESSION NO. 266-72BT193 NYC		WITHDRAWN
76.	Investigation Case Files (50-69) ACCESSION NO. 266-72CT193 NYC		WITHDRAWN
77.	Investigation Case Files (50-69) ACCESSION NO. 266-72AU193 NYC		WITHDRAWN
78.	Investigation Case Files (50-69) ACCESSION NO. 266-72BU193 NYC		WITHDRAWN
79.	Investigation Case Files (50-69) ACCESSION NO. 266-72CU193 NYC		WITHDRAWN

Request for Records Disposition Authority - Continuation

JOB NO

PAGE OF

9 of 12

7 ITEM NO	8 DESCRIPTION OF ITEM (With Inclusive Dates or Retention Periods)	9 SAMPLE OR JOB NO	10 ACTION TAKEN
80.	Investigation Case Files (50-69) ACCESSION NO. 266-72AV193 NYC		WITHDRAWN
81.	Investigation Case Files (50-69) ACCESSION NO. 266-72BV193 NYC		WITHDRAWN
82.	Investigation Case Files (50-69) ACCESSION NO. 266-72CV193 NYC		WITHDRAWN
83.	Investigation Case Files (50-69) ACCESSION NO. 266-72AW193 NYC		WITHDRAWN
84.	Investigation Case Files (50-69) ACCESSION NO. 266-72BW193 NYC		WITHDRAWN
85.	Investigation Case Files (50-69) ACCESSION NO. 266-72CW193 NYC		WITHDRAWN
86.	Investigation Case Files (50-69) ACCESSION NO. 266-72AX193 NYC		WITHDRAWN
87.	Investigation Case Files (50-69) ACCESSION NO. 266-72BX193 NYC		WITHDRAWN
88.	Investigation Case Files (50-69) ACCESSION NO. 266-72CX193 NYC		WITHDRAWN
89.	Investigation Case Files (50-69) ACCESSION NO. 266-72AY193 NYC		WITHDRAWN
90.	Investigation Case Files (50-69) ACCESSION NO. 266-72BY193 NYC		WITHDRAWN
91.	Investigation Case Files (50-69) ACCESSION NO. 266-72CY193 NYC		WITHDRAWN

Request for Records Disposition Authority - Continuation

JOB NO

PAGE OF

10 of 12

7 ITEM NO	8 DESCRIPTION OF ITEM (With Inclusive Dates or Retention Periods)	9 SAMPLE OR JOB NO	10 ACTION TAKEN
92.	Investigation Case Files (50-69) ACCESSION NO. 266-72AZ193 NYC		WITHDRAWN
93.	Investigation Case Files (50-69) ACCESSION NO. 266-72BZ193 NYC		WITHDRAWN
94.	Investigation Case Files (50-69) ACCESSION NO. 266-72CZ193 NYC		WITHDRAWN
95.	Investigation Case Files (50-69) ACCESSION NO. 266-72AO193 NYC		WITHDRAWN
96.	Investigation Case Files (50-69) ACCESSION NO. 266-72BO193 NYC		WITHDRAWN
97.	Investigation Case Files (50-69) ACCESSION NO. 266-72CO193 NYC		WITHDRAWN
98.	Investigation Case Files (50-69) ACCESSION NO. 266-72DO193 NYC		WITHDRAWN
99.	Investigation Case Files (50-69) ACCESSION NO. 266-72EO193 NYC		WITHDRAWN
100.	Investigation Case Files (50-69) ACCESSION NO. 266-72FO193 NYC		WITHDRAWN
101.	Investigation Case Files (50-69) ACCESSION NO. 266-72GO193 NYC		WITHDRAWN
102.	Investigation Case Files (50-69) ACCESSION NO. 266-72HO193 NYC		WITHDRAWN
103.	Investigation Case Files (50-69) ACCESSION NO. 266-72IO193 NYC		WITHDRAWN

Request for Records Disposition Authority—Continuation		JOB NO	PAGE OF <i>11 of 12</i>
7. ITEM NO	8 DESCRIPTION OF ITEM (With Inclusive Dates or Retention Periods)	9 SAMPLE OR JOB NO	10 ACTION TAKEN
104.	Investigation Case Files (50-69) ACCESSION NO. 266-72J0193 NYC		WITHDRAWN
105.	Investigation Case Files (50-69) ACCESSION NO. 266-72K0193 NYC		WITHDRAWN
106.	Investigation Case Files (50-69) ACCESSION NO. 266-72L0193 NYC		WITHDRAWN
107.	Investigation Case Files (50-69) ACCESSION NO. 266-72M0193 NYC		WITHDRAWN
108.	Investigation Case Files (50-69) ACCESSION NO. 266-72N0193 NYC		WITHDRAWN
109.	Investigation Case Files (50-69) ACCESSION NO. 266-7200193 NYC		WITHDRAWN
110.	Investigation Case Files (50-69) ACCESSION NO. 266-72P0193 NYC		WITHDRAWN
111.	Investigation Case Files (50-69) ACCESSION NO. 266-72Q0193 NYC		WITHDRAWN
112.	Investigation Case Files (50-69) ACCESSION NO. 266-72R0193 NYC		WITHDRAWN
113.	Investigation Case Files (50-69) ACCESSION NO. 266-72S0193 NYC		WITHDRAWN
114.	Investigation Case Files (50-69) ACCESSION NO. 266-72T0193 NYC		WITHDRAWN
115.	Investigation Case Files (50-69) ACCESSION NO. 266-72U0193 NYC		WITHDRAWN

Request for Records Disposition Authority – Continuation		JOB NO	PAGE OF <i>12 of 12</i>
7 ITEM NO	8 DESCRIPTION OF ITEM (With Inclusive Dates or Retention Periods)	9 SAMPLE OR JOB NO	10 ACTION TAKEN
116.	Investigation Case Files (50-69) ACCESSION NO. 266-72V0193 NYC		WITHDRAWN
117.	Investigation Case Files (50-69) ACCESSION NO. 266-72W0193 NYC		WITHDRAWN
118.	Investigation Case Files (50-69) ACCESSION NO. 266-72X0193 NYC		WITHDRAWN
119.	Investigation Case Files (50-69) ACCESSION NO. 266-72Y0193 NYC		WITHDRAWN
120.	Investigation Case Files (50-69) ACCESSION NO. 266-72Z0193 NYC		WITHDRAWN