

INACTIVE - ALL ITEMS SUPERSEDED OR OBSOLETE

Schedule Number: NC1-266-83-07

All items in this schedule are inactive. Items are either obsolete or have been superseded by newer NARA approved records schedules.

Description:

This schedule is for a one-time disposal for records transferred to the record center.

Date Reported: 2/9/2023

NC1-266-83-07

INACTIVE - ALL ITEMS SUPERSEDED OR OBSOLETE

REQUEST FOR RECORDS DISPOSITION AUTHORITY
(See Instructions on reverse)

TO **GENERAL SERVICES ADMINISTRATION,
NATIONAL ARCHIVES AND RECORDS SERVICE, WASHINGTON, DC 20408**

1 FROM (AGENCY OR ESTABLISHMENT)

Securities and Exchange Commission

2 MAJOR SUBDIVISION

Office of Applications and Reports Services

3 MINOR SUBDIVISION

4 NAME OF PERSON WITH WHOM TO CONFER

Charles A. Moore

5 TEL EXT

272-7216

6 CERTIFICATE OF AGENCY REPRESENTATIVE

I hereby certify that I am authorized to act for this agency in matters pertaining to the disposal of the agency's records; that the records proposed for disposal in this Request of 2 page(s) are not now needed for the business of this agency or will not be needed after the retention periods specified.

☒ **A Request for immediate disposal.**

☐ **B Request for disposal after a specified period of time or request for permanent retention**

C DATE

7/18/83

D SIGNATURE OF AGENCY REPRESENTATIVE

Charles A. Moore

E TITLE

**Charles A. Moore
Records Officer**

7
ITEM NO

8 DESCRIPTION OF ITEM
(With Inclusive Dates or Retention Periods)

9
SAMPLE OR
JOB NO

10
ACTION TAKEN

1

Accessions in the Washington NATIONAL Records Center that are identified on the SF 135s as being papers from specific individuals' offices.

Questionnaires completed by firms and submitted to the Securities and Exchange Commission in response to request for specific data, and a few folders containing memorandum and correspondence pertaining to studies. Many of the Questionnaires pertain to a SEC study that was conducted by the Wharton Business School (Accession 266-66-2792, boxes 727-751).

Disposition: Destroy immediately.

2

Completed forms containing data from the 1950s and 1960s (Accession 266-68-2327, boxes 82-87).

Disposition: Destroy immediately.

5 items

*NIIF, NNI + Agency sent 1-19-84 by DMW.
NIIF sent 1-20-84 by DMW.*

LEAVE BLANK	
JOB NO	NC1-266-83-7
DATE RECEIVED	7-18-83
NOTIFICATION TO AGENCY	
In accordance with the provisions of 44 U.S.C. 3303a the disposal request including amendments, is approved except for items that may be stamped "disposal not approved" or "withdrawn" in column 10	
<u>1-12-84</u> Date	<i>Robert H. K...</i> Archivist of the United States

Request for Records Disposition Authority—Continuation

JOB NO.

PAGE OF 2
2

7 ITEM NO	8 DESCRIPTION OF ITEM (With Inclusive Dates or Retention Periods)	9. SAMPLE OR JOB NO.	10. ACTION TAKEN
3 CAM 12/23/83 KFM 12/23/83	<p>Completed forms containing data from the 1950s and 1960s (Accession 266-68-6304, boxes 1290-1295 and 1301-1302)</p> <p><u>Disposition:</u> Destroy immediately.</p> <p>Note: This item does not cover boxes 1296-1300 which contain SEC and Work Projects Administration publications.</p>		
4	<p>Company records, drafts of memorandums and reports, reference copies of SEC documents, and copies of proxy material (Accession 266-70-4884, boxes 398-432).</p> <p><u>Disposition:</u> Destroy immediately.</p>		
5	<p>Stock exchange forms or brokerage house forms that were submitted to the SEC as a result of requests. There are also a few transmittal letters and 3"x5", and 4"x6" note cards, and calculator tapes used to manipulate data that is on the forms (Accession 266-70-4884, boxes 433-453).</p> <p><u>Disposition:</u> Destroy immediately.</p>		