

EPA Records Schedule 153

Status: Final, 01/31/2010

Title: TRI Processing System (TRIPS)

Program: Environmental Information

Applicability: Agency-wide

Function: 108-025-06-02 - Manage Toxic Substances

NARA Disposal Authority:

This schedule authorizes the disposition of the record copy in any media (media neutral), excluding any records already in electronic form. Records designated for permanent retention must be transferred to the National Archives in accordance with NARA standards at the time of transfer.

- N1-412-10-1

Description:

The TRI Processing System (TRIPS) was established under the Emergency Planning and Community Right-To-Know Act of 1986 (EPCRA) and expanded by the Pollution Prevention Act of 1990. The inventory contains information on toxic chemical releases and other waste management activities reported annually by certain covered industry groups as well as federal facilities. Data include chemical identity, amount of on-site users, releases and off-site transfers, including publicly-owned treatment works (POTWs), on-site treatment, and minimization/prevention actions. Also contains data collected via EPA Form 9350-1, Toxic Chemical Release Inventory Reporting Form, trade secret claims made by submitters, and submissions received in both paper and electronic format. Output and reports are used by citizens and community organizations, national organizations, businesses, and educational institutions, as well as EPA. EPA uses the data for a wide variety of activities, including targeting environmental problems, compliance and enforcement, and risk assessment.

Disposition Instructions:

Item a: Input - Electronic submissions with electronic signatures, electronic data extracted from paper submissions, and magnetic media submissions.

This includes, but is not limited to, TRI-MEweb, Central Data Exchange (CDX), and floppy, 3.5", and compact disks. The Office of Environmental Information, Office of Information Analysis and Access, TRI Program Division is responsible for the disposition of this item.

- **Disposable**
- Close after information is entered into the electronic system and verified.
- Delete when 5 years old.

Item b: Input - Paper submissions

The Office of Environmental Information, Office of Information Analysis and Access, TRI Program Division is responsible for the disposition of this item.

- **Disposable**
- Close after information is entered into the electronic system and verified.
- Destroy when 5 years old.

Item c: Trade secret claims

The Office of Environmental Information, Office of Information Analysis and Access, TRI Program Division is responsible for the disposition of this item.

- **Permanent**
- Close every 5 years.
- Transfer to the National Archives when most recent record is 15 years old, as specified in 36 CFR 1235.44-1235.50 or standards applicable at the time. If any claims are submitted in electronic form, produce a paper copy to serve as the record copy and delete the electronic copy when no longer needed.

Item d: Trade secret claims - Tracking system (Automated Ledger Function (ALF))

The Office of Environmental Information, Office of Information Analysis and Access, TRI Program Division is responsible for the disposition of this item.

- **Disposable**
- Delete when obsolete or no longer needed.

Item e: TRIPS electronic software program

The Office of Environmental Information, Office of Information Analysis and Access, TRI Program Division is responsible for the disposition of this item.

- **Disposable**
- For each major version change, maintain current version following procedures required in NTSD's policies and procedures. For any routine software updates, delete software after quality assurance check is performed.

Item f: TRIPS electronic data

The Office of Environmental Information, Office of Information Analysis and Access, TRI Program Division is responsible for the disposition of this item.

- **Permanent**

- Transfer to the National Archives annually, as specified in 36 CFR 1235.44-1235.50 or standards applicable at the time.

Item g: TRIPS output and reports

- **Varies**

- File with related records and follow instructions for the related records.

Item h: TRIPS system documentation

The Office of Environmental Information, Office of Information Analysis and Access, TRI Program Division is responsible for the disposition of this item.

- **Permanent**

- Transfer to the National Archives those records necessary to document how the system captures, manipulates, and outputs data to the National Archives, as specified in 36 CFR 1235.44-1235.50 or standards applicable at the time. Transfer the documentation with the electronic data (item f).

Guidance:

The Chemical Update System (CUS) is scheduled as EPA 273. See EPA 088 - Bibliographic and Reference Systems for the EPCRA Targeting System (ETS), and EPA 371 for TSCA Chemical Inventory File.

Specific legal citations include:

- SARA Title III - Emergency Planning and Community Right-To-Know Act of 1986, Section 313, 42 U.S.C. 11023
- Pollution Prevention Act of 1990, 42 U.S.C. 13101 et seq.
- 40 CFR Part 372

Reasons for Disposition:

The following changes were made in the 09/30/2009 version:

- Added file closure instructions to item a.
- Revised retention for items a and b to 5 years.

The following changes were made in the 06/30/2008 version:

- Revised title of schedule and disposition items e, f, g and h.
- Revised wording of disposition item a.
- Added wording about applicability for disposition items a-f and h.
- Updated contact information.

The 5-year retention for submissions has been changed to be consistent with the federal statute of

limitations, 28 U.S.C. 2462. The retention for the data in the TRI electronic system has not changed.

Item i for electronic copies created with word processing and electronic mail applications was deleted 08/16/2006 pursuant to NARA Bulletin 2006-04.

Custodians:

Office of Environmental Information, Office of Information Analysis and Access, TRI Program Division

- **Contact:** Peggy Bagnoli
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Related Schedules:

EPA 088, EPA 273, EPA 371

Previous NARA Disposal Authority:

NC1-412-88-3/19, N1-412-95-7/3, N1-412-04-11

Entry: 01/05/1993

EPA Approval: 10/05/2009

NARA Approval: 01/11/2010