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| REQUEST FOR RECORDS DISPOSITION AUTHORITY (See Instructions on reverse) | | LEAVE BLANK (NARA use only) | |
| TO NATIONAL ARCHIVES and RECORDS ADMINISTRATION (NIR) WASHINGTON, DC 20408 | | JOB NUMBER N1-431-00-15 | |
| 1 FROM (Agency or establishment) U.S. Nuclear Regulatory Commission | | DATE RECEIVED 12.20.99 | |
| 2. MAJOR SUBDIVISION Office of Human Resources | | NOTIFICATION TO AGENCY | |
| 3. MINOR SUBDIVISION | | In accordance with the provisions of 44 U.S.C. 3303a the disposition request, including amendments, is approved except for items that may be marked "disposition not approved" or "withdrawn" in column 10 | |
| 4 NAME OF PERSON WITH WHOM TO CONFER John Harris | 5 TELEPHONE 301-415-5885 | DATE 3-8-02 | ARCHIVIST OF THE UNITED STATES <i>[Signature]</i> |

6. AGENCY CERTIFICATION
I hereby certify that I am authorized to act for this agency in matters pertaining to the disposition of its records and that the records proposed for disposal on the attached 21 page(s) are not now needed for the business of this agency or will not be needed after the retention periods specified; and that written concurrence from the General Accounting Office, under the provisions of Title 8 of the GAO Manual for Guidance of Federal Agencies,

☒ is not required; ☐ is attached; or ☐ has been requested.

| | | |
|-------------------------|--|-------------------------------------|
| DATE 12/16/99 | SIGNATURE OF AGENCY REPRESENTATIVE <i>[Signature]</i> | TITLE NRC Records Officer |
|-------------------------|--|-------------------------------------|

| 7 ITEM NO | 8 DESCRIPTION OF ITEM AND PROPOSED DISPOSITION | 9 GRS OR SUPERSEDED JOB CITATION | 10 ACTION TAKEN (NARA USE ONLY) |
|-----------------|--|--|---------------------------------------|
| | <p>Agencywide Documents Access and Management System (ADAMS) Programmatic Records of the NRC Office of Human Resources .</p> <p>ADAMS is an electronic information system that maintains the Nuclear Regulatory Commission's (NRC's) unclassified official programmatic and administrative records in a centralized electronic document repository. ADAMS is designed to meet all National Archives and Records Administration (NARA) regulations to ensure NARA approval of ADAMS as an official electronic recordkeeping system. Beginning January 1, 2000, NRC headquarters and regional offices will no longer create or maintain paper-based record collections because the electronic documents in ADAMS will become the "official records" of the agency. The "official record" of documents maintained in ADAMS is a Portable Document Format (PDF) file or a Tagged Image File Format (TIFF) file with an associated document profile containing "fielded" data to describe the document and its attributes. In addition, any electronic data related to a digital signature and/or electronic data that evidence final NRC management and staff concurrence in a document is linked to and considered part of the official record.</p> <p>Records disposition schedules for the NRC records maintained in ADAMS are divided into two broad groups:</p> <p>1) Programmatic records created or received by each individual NRC office</p> <p>2) Administrative records created or received by any NRC offices</p> | | |

REQUEST FOR RECORDS DISPOSITION AUTHORITY -- CONTINUATION

JOB NUMBER

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| 7. ITEM NO. | 8. DESCRIPTION OF ITEM AND PROPOSED DISPOSITION | 9. GRS OR SUPERSEDED JOB CITATION | 10. ACTION TAKEN (NARA USE ONLY) |
|-------------------|---|---|--|
| | <p>The records disposition schedules for the administrative records series of all NRC offices are under development for later submittal to NARA.</p> <p>The records disposition schedules for the programmatic records series of each NRC office will be submitted to NARA under separate SF 115 for each office.</p> <p>This SF 115 pertains to the programmatic records of the NRC Office of Human Resources.</p> | | |

| | | | |
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| TO: NATIONAL ARCHIVES and RECORDS ADMINISTRATION (NIR) WASHINGTON, DC 20408 | | JOB NUMBER N1-431-00-15 | |
| 1. FROM (Agency or establishment) U.S. Nuclear Regulatory Commission | | DATE RECEIVED | |
| 2. MAJOR SUBDIVISION Office of Human Resources | | NOTIFICATION TO AGENCY | |
| 3. MINOR SUBDIVISION | | In accordance with the provisions of 44 U.S.C. 3303a the disposition request, including amendments, is approved except for items that may be marked "disposition not approved" or "withdrawn" in column 10 | |
| 4. NAME OF PERSON WITH WHOM TO CONFER John Harris | 5. TELEPHONE 301-415-5885 | | |
| | | DATE | ARCHIVIST OF THE UNITED STATES |

6. AGENCY CERTIFICATION
I hereby certify that I am authorized to act for this agency in matters pertaining to the disposition of its records and that the records proposed for disposal on the attached 21 page(s) are not now needed for the business of this agency or will not be needed after the retention periods specified, and that written concurrence from the General Accounting Office, under the provisions of Title 8 of the GAO Manual for Guidance of Federal Agencies,

☒ is not required, ☐ is attached, or ☐ has been requested.

| | | |
|----------|--|---------------------|
| DATE | SIGNATURE OF AGENCY REPRESENTATIVE | TITLE |
| 12/16/99 | Brenda Jo. Shelton /S/; Revised 03/24/00 | NRC Records Officer |

| 7 ITEM NO | 8 DESCRIPTION OF ITEM AND PROPOSED DISPOSITION | 9 GRS OR SUPERSEDE D JOB CITATION | 10 ACTION TAKEN (NARA USE ONLY) |
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| | <p>Agencywide Documents Access and Management System (ADAMS) Programmatic Records of the NRC Office of Human Resources.</p> <p>ADAMS is an electronic information system that maintains the Nuclear Regulatory Commission's (NRC's) unclassified official programmatic and administrative records in a centralized electronic document repository. ADAMS is designed to meet all National Archives and Records Administration (NARA) regulations to ensure NARA approval of ADAMS as an official electronic recordkeeping system. Beginning April 1, 2000, NRC headquarters and regional offices will no longer create or maintain paper-based record collections because the electronic documents in ADAMS will become the "official records" of the agency. The "official record" of documents maintained in ADAMS is a Portable Document Format (PDF) file or a Tagged Image File Format (TIFF) file with an associated document profile containing "fielded" data to describe the document and its attributes. In addition, any electronic data related to a digital signature and/or electronic data that evidence final NRC management and staff concurrence in a document is linked to and considered part of the official record.</p> <p>Records disposition schedules for the NRC records maintained in ADAMS are divided into two broad groups:</p> <p>1) Programmatic records created or received by each individual NRC office</p> <p>2) Administrative records created or received by any NRC offices</p> | | |

| REQUEST FOR RECORDS . POSITION AUTHORITY -- CONTINUATION | | JOB NUMBER | PAGE 2 OF 21 |
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| 7 ITEM NO | 8 DESCRIPTION OF ITEM AND PROPOSED DISPOSITION | 9 GRS OR SUPERSEDED JOB CITATION | 10 ACTION TAKEN (NARA USE ONLY) |
| | <p>The records disposition schedules for the administrative records series of all NRC offices are under development for later submittal to NARA.</p> <p>The records disposition schedules for the programmatic records series of each NRC office will be submitted to NARA under separate SF 115 for each office</p> <p>This SF 115 pertains to the programmatic records of the NRC Office of Human Resources</p> | | |

RECORDS OF THE OFFICE OF HUMAN RESOURCES
PROGRAMMATIC RECORDS

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| Item 3 Differing Professional View and Opinion Files | 10 |
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ADAMS RECORDS SCHEDULES
OFFICE OF HUMAN RESOURCES

| ITEM NO. | DESCRIPTION OF RECORDS | AUTHORIZED DISPOSITION | NARA- APPROVED CITATION |
|-------------|---|---|---|
| 1. | <u>Awards Files</u> | | |
| a. | Records relating to meritorious and distinguished service awards made at the commission level and maintained by the Office of Human Resources. | | |
| | (1) Paper records created before 04/01/2000. | <u>Permanent.</u> Transfer to NARA when 10 years old. | NC1-431-81-5 Item 1.5.28.a (NUREG-0910 NRCS 2-22.3.a) |
| | (2) Paper records used as the source to create ADAMS Tagged Image File Format (TIFF) files and ASCII files. | Destroy paper documents 2 months after creation of ADAMS electronic record. | TBD |
| | (3) Copies of electronic files stored outside of ADAMS used to create ADAMS Portable Document Format (PDF) files, TIFF files, and ASCII files that remain with staff members and OCIO processing staff after creation of the ADAMS records. Included are electronic records created internally using office automation tools (e.g., WordPerfect, Lotus, InForms, e-mail, etc.) and electronic records received from outside the agency. | Destroy after creation of ADAMS electronic record or when no longer needed for reference or updating, whichever is later. | TBD |
| | (4) ADAMS PDF files and TIFF files. | <u>Permanent.</u> Cut off electronic files at close of fiscal year. Convert image files to paper. | TBD |

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PERMANENT. Cut-off electronic files at close of fiscal year. Transfer to the National Archives 2 years after cut-off. At the time of transfer, NARA and NRC will determine medium and format in which records will be transferred as well as their arrangement. Every effort will be made to transfer records electronically in accordance with the standards for permanent electronic records contained in 36 CFR 1228.270 or standards applicable at the time. If transfer in an electronic medium is not feasible, prior to transfer, with NARA concurrence, records will be converted to paper or to microform. Destroy NRC copy 8 years after transferring records to NARA.

~~microform, magnetic tape, 3480 class tape cartridge, or any other medium and format that meets NARA regulations found in Subchapter B of 36 CFR Chapter XII and transfer to NARA 2 years after cutoff. Prior to transfer, NARA and NRC will determine the medium and format in which the records will be transferred. Destroy NRC copy 8 years after transferring record to NARA.~~

- (5) ADAMS document profiles and ADAMS document packages created for the management, search, and retrieval of the PDF files and TIFF files, and ADAMS data related to digital signatures, and data that evidence final NRC management and staff concurrences in documents that are linked to and considered part of the official records.

Permanent. Cut off electronic files with related PDF files and TIFF files. Transfer to NARA according to regulations found in 36 CFR § 1228.488 when the PDF files and TIFF files are transferred. Destroy NRC copy 8 years after transferring record to NARA.

TBD

- b. Copies of records described in a. above exclusive of those filed in Official Personnel Folders.

- ~~(1) Paper records created before 04/01/2000.~~

~~Destroy 2 years after award is made.~~

NC1-431-81-5
Item 2.22.3.b

~~(NUREG-0910
NRCS 2-22.3.b)~~

Note: The maintenance of extra copies of award records in this series will be discontinued when ADAMS is implemented as the

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records will be obtainable from the records located in ADAMS. Accordingly, this series will be discontinued on 04/01/2000 with the implementation of ADAMS and this disposition applied only to the files up to that date.

2. Committee and Conference Records

- a. Records relating to establishment, organization, membership and policy of interagency, intra-agency and international committees and conferences, and agenda, minutes, final reports, and related records documenting accomplishments created by committees and conferences when NRC is the sponsoring agency. Excluded are records of EEO Committee meeting records including minutes and reports covered by GRS 1-25.g.

- (1) Paper records created before 04/01/2000.

Permanent. Cut off when NRC involvement terminates. OCIO will transfer to NARA 10 years after cutoff.

Supersedes:
NC1-431-81-5
Item 1.5.30.a.1

(NUREG-0910
NRCS 1-2.1.a.1),

NC1-431-81-5
Item 1.5.30.a.2

(NUREG-0910
NRCS 1-2.1.a.2),

and NC1-431-81-5
Item 1.5.30.b.1.a

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| | | | (NUREG-0910 NRCS 1-2.1.b.1.a) |
| | (2) Paper records used as the source to create ADAMS Tagged Image File Format (TIFF) files and ASCII files. | Destroy paper documents 2 months after creation of ADAMS electronic record. | TBD |
| | (3) Copies of electronic files stored outside of ADAMS used to create ADAMS Portable Document Format (PDF) files, TIFF files, and ASCII files that remain with staff members and OCIO processing staff after creation of the ADAMS records. Included are electronic records created internally using office automation tools (e.g., WordPerfect, Lotus, InForms, e-mail, etc.) and electronic records received from outside the agency. | Destroy after creation of ADAMS electronic record or when no longer needed for reference or updating, whichever is later. | TBD |
| | (4) ADAMS PDF files and TIFF files. | Permanent. Cut off electronic files when NRC involvement terminates. Convert image files to paper, microform, magnetic tape, 3480 class tape cartridge, or any other medium and format that meets NARA regulations found in Subchapter B of 36 CFR Chapter XII and transfer to NARA 2 years after cutoff. Prior to transfer, NARA and NRC will determine the medium and format in which the records will be transferred. Destroy NRC copy 8 years after transferring record to NARA. | TBD |
| | <u>PERMANENT.</u> Cut-off electronic files when NRC involvement terminates. Transfer to the National Archives 2 years after cut-off. At the time of transfer, NARA and NRC will determine medium and format in which records will be transferred as well as their arrangement. Every effort will be made to transfer records electronically in accordance with the standards for permanent electronic records contained in 36 CFR 1228.270 or standards applicable at the time. If transfer in an electronic medium is not feasible, prior to transfer, with NARA concurrence, records will be converted to paper or to microform. Destroy NRC copy 8 years after transferring records to NARA. | | |

| ITEM NO. | DESCRIPTION OF RECORDS | AUTHORIZED DISPOSITION | NARA- APPROVED CITATION |
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| (5) | ADAMS document profiles and ADAMS document packages created for the management, search, and retrieval of the PDF files and TIFF files, and ADAMS data related to digital signatures, and data that evidence final NRC management and staff concurrences in documents that are linked to and considered part of the official records. | <u>Permanent.</u> Cut off electronic files with related PDF files and TIFF files. Transfer to NARA according to regulations found in 36 CFR § 1228.188 when the PDF files and TIFF files are transferred. Destroy NRC copy 8 years after transferring record to NARA. | TBD |
| b. | Agenda, minutes, final reports, and related records documenting accomplishments created by committees and for conferences when NRC is not the sponsoring agency. | | |
| (1) | Paper records created before 04/01/2000. | Destroy when 3 years old or earlier if no longer needed for reference. | Supersedes NC1-431-81-5 Item 1.5.30.b.1.b (NUREG-0910 NRCS 1-2.1.b.1.b) |
| (2) | Paper records used as the source to create ADAMS Tagged Image File Format (TIFF) files and ASCII files. | Destroy paper documents 2 months after creation of ADAMS electronic record. | TBD |
| (3) | Copies of electronic files stored outside of ADAMS used to create ADAMS Portable Document Format (PDF) files, TIFF files, and ASCII files that remain with staff members and OCIO processing staff after creation of the ADAMS | Destroy after creation of ADAMS electronic record or when no longer needed for reference or updating, whichever is later. | TBD |

| <u>ITEM NO.</u> | <u>DESCRIPTION OF RECORDS</u> | <u>AUTHORIZED DISPOSITION</u> | <u>NARA- APPROVED CITATION</u> |
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| | records. Included are electronic records created internally using office automation tools (e.g.; WordPerfect, Lotus, InForms, e-mail, etc.) and electronic records received from outside the agency. | | |
| (4) | ADAMS PDF files and their linked native application source files; ADAMS TIFF files and their linked ASCII files; ADAMS document profiles and document packages; and ADAMS digital signature and concurrence data. | Cut off electronic files upon termination of committee. Destroy 3 years after cutoff. | TBD |
| c. | All other records created by committees regardless of whether NRC is the sponsor agency, excluding the agenda, minutes, final reports and related records described in Item a, above. | | |
| (1) | Paper records created before 04/01/2000. | Destroy when 3 years old or earlier if no longer needed for reference. | Supersedes NC1-431-81-5 Item 1.5.30.b.2 (NUREG-0910 NRCS 1-2.1.b.2) |
| (2) | Paper records used as the source to create ADAMS Tagged Image File Format (TIFF) files and ASCII files. | Destroy paper documents 2 months after creation of ADAMS electronic record. | TBD |
| (3) | Copies of electronic files stored outside of ADAMS used to create ADAMS Portable Document Format | Destroy after creation of ADAMS electronic record or when no longer needed for | TBD |

| ITEM NO. | DESCRIPTION OF RECORDS | AUTHORIZED DISPOSITION | NARA- APPROVED CITATION |
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| | (PDF) files, TIFF files, and ASCII files that remain with staff members and OCIO processing staff after creation of the ADAMS records. Included are electronic records created internally using office automation tools (e.g., WordPerfect, Lotus, InForms, e-mail, etc.) and electronic records received from outside the agency. | reference or updating, whichever is later. | |
| | (4) ADAMS PDF files and their linked native application source files; ADAMS TIFF files and their linked ASCII files; ADAMS document profiles and document packages; and ADAMS digital signature and concurrence data. | Cut off electronic files upon termination of committee. Destroy 3 years after cutoff. | TBD |
| 3. | <u>Differing Professional View and Opinion Files</u> | | |
| a. | <u>Differing Professional View (DPV) Files</u> | | |
| | Case files documenting the informal evaluation and satisfactory resolution of an employee's differing professional views within an office or region pertaining to NRC mission issues. Records include the initial DPV, the DPV panel reports, decision memoranda, document lists, and related correspondence. | | |

| ITEM NO. | DESCRIPTION OF RECORDS | AUTHORIZED DISPOSITION | NARA- APPROVED CITATION |
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| (1) | Paper records created before 04/01/2000. | Cut off files when case is closed by final office or regional decision and completion of internal processing. Transfer to the Washington National Records Center 2 years after cutoff if the records consist of at least 1 cubic foot. Destroy 10 years after cutoff. | TBD |
| (2) | Paper records used as the source to create ADAMS Tagged Image File Format (TIFF) files and ASCII files. | Destroy paper documents 2 months after creation of ADAMS electronic record. | TBD |
| (3) | Copies of electronic files stored outside of ADAMS used to create ADAMS Portable Document Format (PDF) files, TIFF files, and ASCII files that remain with staff members and OCIO processing staff after creation of the ADAMS records. Included are electronic records created internally using office automation tools (e.g., WordPerfect, Lotus, InForms, e-mail, etc.) and electronic records received from outside the agency. | Destroy after creation of ADAMS electronic record or when no longer needed for reference or updating, whichever is later. | TBD |
| (4) | ADAMS PDF files and their linked native application source files; ADAMS TIFF files and their linked ASCII files; ADAMS document profiles and document packages; and ADAMS digital signature and concurrence data. | Cut off electronic files when case is closed by final office or regional decision and completion of internal processing. Destroy 8 years after cutoff. | TBD |

ADAMS RECORDS SCHEDULES
OFFICE OF THE CHIEF INFORMATION OFFICER
11/19/01 REVISED ITEM 3.B

| ITEM NO | DESCRIPTION OF RECORDS | AUTHORIZED DISPOSITION | NARA- APPROVED CITATION |
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| 3b. | <u>Differing Professional Opinion (DPO) Files</u> Case files documenting the formal EDO or Commission evaluation and resolution of an employee's differing professional views and opinions pertaining to NRC mission issues that are not satisfactorily resolved at the office director or regional administrator level. Records include the initial unresolved Differing Professional View (DPV), the DPO, the DPV and DPO panel reports, decision memoranda, document lists, and related correspondence. Case files also contain the sanitized copy containing documents released to the public. | | |
| (1) | Paper records created before 04/01/2000 | Cut off files when case is closed by final EDO or Commission decision and completion of internal processing. Transfer to the Washington National Records Center 2 years after cutoff if the records consist of at least 1 cubic foot. Destroy 30 years after cutoff. | TBD |

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| (2) Paper records used as the source to create ADAMS Tagged Image File Format (TIFF) files and ASCII files. | Destroy paper documents 2 months after creation of ADAMS electronic record | TBD |
| (3) Copies of electronic files stored outside of ADAMS used to create ADAMS Portable Document Format (PDF) files, TIFF files, and ASCII files that remain with staff members and OCIO processing staff after creation of the ADAMS records. Included are electronic records created internally using office automation tools (e.g., WordPerfect, Lotus, InForms, e-mail, etc.) and electronic records received from outside the agency. | Destroy after creation of ADAMS electronic record or when no longer needed for reference or updating, whichever is later. | TBD |
| (4) ADAMS PDF files and their linked native application source files; ADAMS TIFF files and their linked ASCII files; ADAMS document profiles and document packages; and ADAMS digital signature and concurrence data. | Cut off electronic files at close of fiscal year. Destroy 30 years after cutoff. | TBD |

| ITEM NO. | DESCRIPTION OF RECORDS | AUTHORIZED DISPOSITION | NARA-APPROVED CITATION |
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| | ASCII files that remain with staff members and OCIO processing staff after creation of the ADAMS records. Included are electronic records created internally using office automation tools (e.g., WordPerfect, Lotus, InForms, e-mail, etc.) and electronic records received from outside the agency. | | |
| (4) | ADAMS PDF files and TIFF files. | Permanent. Cut off electronic files when case is closed by final EDO or Commission decision and completion of internal processing. Convert image files to paper, microform, magnetic tape, 3480 class tape cartridge, or any other medium and format that meets NARA regulations found in Subchapter B of 36 CFR Chapter XII and transfer to NARA 2 years after cutoff. Prior to transfer, NARA and NRC will determine the medium and format in which the records will be transferred. Destroy NRC copy 18 years after transferring record to NARA. | TBD |
| | Transfer to the National Archives 2 years after cut-off. At the time of transfer, NARA and NRC will determine medium and format in which records will be transferred as well as their arrangement. Every effort will be made to transfer records electronically in accordance with the standards for permanent electronic records contained in 36 CFR 1228.270 or standards applicable at the time. If transfer in an electronic medium is not feasible, prior to transfer, with NARA concurrence, records will be converted to paper or to microform. | | |
| (5) | ADAMS document profiles and ADAMS document packages created for the management, search, and retrieval of the PDF files and TIFF files, and ADAMS data related to digital signatures, and data that evidence final NRC management and staff concurrences in documents | Permanent. Cut off electronic files with related PDF files and TIFF files. Transfer to NARA according to regulations found in 36 CFR § 1228.488 when the PDF files and TIFF files are transferred. Destroy NRC copy 18 years after transferring record to NARA. | TBD |

| ITEM NO. | DESCRIPTION OF RECORDS | AUTHORIZED DISPOSITION | NARA- APPROVED CITATION |
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| | that are linked to and considered part of the official records. | | |
| 4. | <u>General Correspondence Files</u> <u>(Subject Files)</u> | | |
| | Letters, memoranda, messages, studies, reports, forms, and other data documenting and/or implementing plans, policies, procedures, accomplishments, opinions, and decisions pertaining to Office of Human Resources (HR) programs and staff activities. | | |
| a. | <u>Correspondence Files at the</u> <u>Office Director Level.</u> | | |
| | Files that document policy- making decisions or significant management of HR functions that are signed by or addressed to the HR Director. Excluded is correspondence that documents routine transactions for which the record content is summarized or duplicated in higher level documents. (See item c. below.) | | |
| | (1) Paper records created before 04/01/2000. | <u>Permanent.</u> Cut off at close of fiscal year. Hold 2 years and retire to the Washington National Record Center through OCIO. OCIO will transfer to NARA when 20 years old. | NC1-431-81-5 Item 1.2.15.a (NUREG-0910 NRCS 1-2.2.a) |
| | (2) Paper records used as the source to create ADAMS Tagged Image File Format (TIFF) files and ASCII files. | Destroy paper documents 2 months after creation of ADAMS electronic record. | TBD |

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- (3) Copies of electronic files stored outside of ADAMS used to create ADAMS Portable Document Format (PDF) files, TIFF files, and ASCII files that remain with staff members and OCIO processing staff after creation of the ADAMS records. Included are electronic records created internally using office automation tools (e.g., WordPerfect, Lotus, InForms, e-mail, etc.) and electronic records received from outside the agency.

Destroy after creation of ADAMS electronic record or when no longer needed for reference or updating, whichever is later.

TBD

- (4) ADAMS PDF files and TIFF files.

PERMANENT. Cut-off electronic files at close of fiscal year. Transfer to the National Archives 2 years after cut-off. At the time of transfer, NARA and NRC will determine medium and format in which records will be transferred as well as their arrangement. Every effort will be made to transfer records electronically in accordance with the standards for permanent electronic records contained in 36 CFR 1228.270 or standards applicable at the time. If transfer in an electronic medium is not feasible, prior to transfer, with NARA concurrence, records will be converted to paper or to microform. Destroy NRC copy 18 years after transferring records to NARA.

Permanent. Cut off electronic files at close of fiscal year. Convert image files to paper, microform, magnetic tape, 3480 class tape cartridge, or any other medium and format that meets NARA regulations found in Subchapter B of 36 CFR Chapter XII and transfer to NARA 2 years after cutoff. Prior to transfer, NARA and NRC will determine the medium and format in which the records will be transferred. Destroy NRC copy 18 years after transferring record to NARA.

TBD

- (5) ADAMS document profiles and ADAMS document packages created for the management, search, and retrieval of the PDF files and TIFF files, and ADAMS

Permanent. Cut off electronic files with related PDF files and TIFF files. Transfer to NARA according to regulations found in 36 CFR § 1228.188 when the PDF files and TIFF files are

TBD

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data related to digital signatures, and data that evidence final NRC management and staff concurrences in documents that are linked to and considered part of the official records.

transferred. Destroy NRC copy 18 years after transferring record to NARA.

b. Correspondence Files Created or Received Below the Office Director Level.

Files that document policy making decisions, significant management of HR functions and operations that are signed by or addressed to HR management and staff below the office director level. Excluded is program correspondence that documents routine transactions for which the record content is summarized or duplicated in higher level documents. (See item c. below.) Also excluded is correspondence pertaining to internal management and operations that are scheduled by the General Records Schedules.

~~(1) Paper records created before 04/01/2000.~~

~~Cut off at close of fiscal year. Hold 2 years and retire to the Washington National Record Center through OCIO. Destroy 10 years after cutoff.~~

~~NC1-431-81-5
Item 1.2.d.5.b~~

~~(NUREG-0910
NRCS 1-2.2.b)~~

(2) Paper records used as the source to create ADAMS Tagged Image File Format (TIFF) files and ASCII files.

Destroy paper documents 2 months after creation of ADAMS electronic record.

TBD

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(3) Copies of electronic files stored outside of ADAMS used to create ADAMS Portable Document Format (PDF) files, TIFF files, and ASCII files that remain with staff members and OCIO processing staff after creation of the ADAMS records. Included are electronic records created internally using office automation tools (e.g., WordPerfect, Lotus, InForms, e-mail, etc.) and electronic records received from outside the agency.

Destroy after creation of ADAMS electronic record or when no longer needed for reference or updating, whichever is later.

TBD

(4) ADAMS PDF files and their linked native application source files; ADAMS TIFF files and their linked ASCII files; ADAMS document profiles and document packages; and ADAMS digital signature and concurrence data.

Cut off electronic files at close of fiscal year. Destroy 10 years after cutoff.

TBD

c. Routine Program
Correspondence Files Created
or Received at All
Organizational Levels.

Files documenting program transactions of a routine or recurring nature, the record content of which is summarized or duplicated in Item a. or b. above.

~~(1) Paper records created before 04/01/2000.~~

~~Destroy when 2 years old, or sooner if purpose has been served.~~

~~NC1-431-81-5
Item 1.2.15.c~~

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|-------------|---|---|-------------------------------|
| | | | (NUREG-0910 NRCS 1-2.2.c) |
| | (2) Paper records used as the source to create ADAMS Tagged Image File Format (TIFF) files and ASCII files. | Destroy paper documents 2 months after creation of ADAMS electronic record. | TBD |
| | (3) Copies of electronic files stored outside of ADAMS used to create ADAMS Portable Document Format (PDF) files, TIFF files, and ASCII files that remain with staff members and OCIO processing staff after creation of the ADAMS records. Included are electronic records created internally using office automation tools (e.g., WordPerfect, Lotus, InForms, e-mail, etc.) and electronic records received from outside the agency. | Destroy after creation of ADAMS electronic record or when no longer needed for reference or updating, whichever is later. | TBD |
| | (4) ADAMS PDF files and their linked native application source files; ADAMS TIFF files and their linked ASCII files; ADAMS document profiles and document packages; and ADAMS digital signature and concurrence data. | Cut off electronic files at close of fiscal year. Destroy 2 years after cutoff. | TBD |

5. Training Aids

- a. One copy of each manual, syllabus, textbook, and other training aid for management and technical subjects developed by

| ITEM NO. | DESCRIPTION OF RECORDS | AUTHORIZED DISPOSITION | NARA- APPROVED CITATION |
|-------------|---|--|---|
| | the Commission and maintained by the Human Resources Development staff, HR, and the Technical Training Center, Chattanooga, Tennessee. | | |
| (1) | Paper records created before 04/01/2000. | <u>Permanent.</u> Transfer to NARA when 10 years old. | NC1-431-81-5 Item 1.5.40.a (NUREG-0910 NRCS 2-22.5.a) |
| (2) | Paper records used as the source to create ADAMS Tagged Image File Format (TIFF) files and ASCII files. | Destroy paper documents 2 months after creation of ADAMS electronic record. | TBD |
| (3) | Copies of electronic files stored outside of ADAMS used to create ADAMS Portable Document Format (PDF) files, TIFF files, and ASCII files that remain with staff members and OCIO processing staff after creation of the ADAMS records. Included are electronic records created internally using office automation tools (e.g., WordPerfect, Lotus, InForms, e-mail, etc.) and electronic records received from outside the agency. | Destroy after creation of ADAMS electronic record or when no longer needed for reference or updating, whichever is later. | TBD |
| (4) | ADAMS PDF files and TIFF files. | <u>Permanent.</u> Cut off electronic files at close of fiscal year. Convert image files to paper, microform, magnetic tape, 3480 class tape cartridge, or any other medium and format that meets NARA regulations found in Subchapter B of 36 CFR. | TBD |

Transfer to the National Archives 2 years after cut-off. At the time of transfer, NARA and NRC will determine medium and format in which records will be transferred as well as their arrangement. Every effort will be made to transfer records electronically in accordance with the standards for permanent electronic records contained in 36 CFR 1228.270 or standards applicable at the time. If transfer in an electronic medium is not feasible, prior to transfer, with NARA concurrence, records will be converted to paper or to microform.

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Chapter XII and transfer to NARA 2 years after cutoff. Prior to transfer, NARA and NRC will determine the medium and format in which the records will be transferred. Destroy NRC copy 8 years after transferring record to NARA.

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| (5) ADAMS document profiles and ADAMS document packages created for the management, search, and retrieval of the PDF files and TIFF files, and ADAMS data related to digital signatures, and data that evidence final NRC management and staff concurrences in documents that are linked to and considered part of the official records. | <u>Permanent.</u> Cut off electronic files with related PDF files and TIFF files. Transfer to NARA according to regulations found in 36 CFR § 1228.188 when the PDF files and TIFF files are transferred. Destroy NRC copy 8 years after transferring record to NARA. | TBD |
| b. Training aids from other agencies or private institutions. | | |
| (1) Paper records created before 04/01/2000. | Destroy when superseded or obsolete. | NC1-431-81-5 Item 1.5.40.b (NUREG-0910 NRCS 2-22.5.b) |
| (2) Paper records used as the source to create ADAMS Tagged Image File Format (TIFF) files and ASCII files. | Destroy paper documents 2 months after creation of ADAMS electronic record. | TBD |
| (3) Copies of electronic files stored outside of ADAMS used to create ADAMS Portable Document Format (PDF) files, TIFF files, and | Destroy after creation of ADAMS electronic record or when no longer needed for reference or updating, whichever is later. | TBD |

| ITEM | <u>NO.</u> <u>DESCRIPTION OF RECORDS</u> | <u>AUTHORIZED DISPOSITION</u> | NARA- <u>APPROVED</u> <u>CITATION</u> |
|------|--|--|---|
| | <p>ASCII files that remain with staff members and OCIO processing staff after creation of the ADAMS records. Included are electronic records created internally using office automation tools (e.g., WordPerfect, Lotus, InForms, e-mail, etc.) and electronic records received from outside the agency.</p> | | |
| (4) | <p>ADAMS PDF files and their linked native application source files; ADAMS TIFF files and their linked ASCII files; ADAMS document profiles and document packages; and ADAMS digital signature and concurrence data.</p> | <p>Cut off electronic files when superseded or determined to be obsolete. Destroy 1 year after cutoff.</p> | TBD |