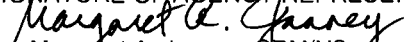
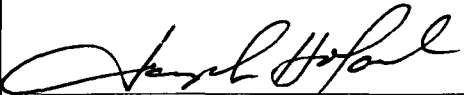



6 AGENCY CERTIFICATION I hereby certify that I am authorized to act for this agency in matters pertaining to the disposition of its records and that the records proposed for disposal on the attached__page(s) are not now needed for the business of this agency or will not be needed after the retention periods specified, and that written concurrence from the General Accounting Office, under the provisions of Title 8 of the GAO Manual for Guidance of Federal Agencies,		
<input checked="checked" type="checkbox"/> is not required, <input type="checkbox"/> is attached, or <input type="checkbox"/> has been requested		
DATE 6/19/08	SIGNATURE OF AGENCY REPRESENTATIVE  Margaret A Janney, CRM/NS	TITLE NRC Records Officer

7 Item No	8 DESCRIPTION OF ITEM AND PROPOSED DISPOSITION	9 GRS or Superseded Job Citation	10 Action Taken (NARA Use Only)
	<p>Title: LICENSE TRACKING SYSTEM (LTS) and WEB-BASED LICENSING (WBL)</p> <p>(See Attached Schedule)</p> <div style="text-align: center; margin-top: 400px;">  <i>May 20, 2008</i> </div> <hr style="width: 100%;"/> <div style="display: flex; justify-content: space-between;"> FSME PMDA Director Date </div> <div style="text-align: center; margin-top: 100px;">  <i>June 5, '08</i> </div> <hr style="width: 100%;"/> <div style="display: flex; justify-content: space-between;"> Office of General Counsel Date </div>		

U. S. Nuclear Regulatory Commission
LICENSING TRACKING SYSTEM (LTS) and Web Based Licensing (WBL)

LICENSING TRACKING SYSTEM (LTS) and WEB-BASED LICENSING (WBL)

The LTS supports the process of licensing and inspection of the use of byproduct, source and special nuclear materials (SNM) in non-reactor applications, such as medical, academic, industrial, fuel cycle, and spent fuel uses. It provides a full database for materials licensing and inspection records for the licensees managed by the NRC only. Similar information for licenses that are managed by other Federal Agencies, Agreement States and Native American Tribal governments are maintained separately. LTS tracks each Materials Specific Licensing application from receipt through completion and termination. A web-based modification to LTS, Web Based Licensing (WBL), is in development and is planned to be operational in FY10. WBL will improve the licensing processes, support inspections and reciprocity with the Agreement States, and provide access to other government agencies for authorization verification. WBL will be designed and implemented using a COTS product, LicenseEase.

The WBL/LTS assists in managing the licensing of these license records, which are scheduled as permanent records by N1-431-00-13, Items 6 a, 6.b, 6 c, 6 d and 6 e, "Fuel Facilities, Transportation, Byproduct, Source, Special Nuclear Material Docket Files" [NUREG-0910, Part 17, Item 6 (pages 2 17 10 through 2 17 20)]

1) Inputs/Source Documents

License information is verified by the NRC project manager and data entry is performed by each NRC Region and HQ from the originals or the copies of the submitted applications or renewal notice forms. The information within LTS/WBL is updated on a real time basis. The record copies of the source documents are maintained in ADAMS or other authorized record-keeping system.

Approval
by
Archivist
not
needed

Disposition: TEMPORARY Information used to provide input to LTS is cut-off after data entry and verified to be correct and transferred to the NRC Document Processing Center for entry into ADAMS or other approved record keeping system.

Instruction

2) Master File

Information contained in LTS includes

License Information

- License Number and Type
- Licensee Name and Contact Information
- Licensee Address,
- Licensed Material Types, Proposed Uses, and Quantity Limits
- Use Location(s),
- License Status (Active or Terminated)

Disposition: TEMPORARY Cut off License Information files when the license or certificate is terminated and mark the license as Terminated. Delete / destroy the license information 20 years after cut off and when no longer required for business reasons.

3) Outputs

This system provides input to the Material Annual Fee (MATANN) system, annual published reports, and periodic license action status reports which are updated monthly and available to the public on the NRC public website. In addition, general information on licensee status (e.g., construction, active, decommissioning, terminated, etc.) and location is available for certain license types on the NRC public website. This general information is obtained from LTS/WBL or other agency systems such as ADAMS. Any record copies of reports and information posted on the NRC website are maintained in ADAMS, or other approved NRC record keeping system.

a. Information provided to MATANN system

Licensing addresses and billing information submitted electronically to the Material Annual Fee System (MATANN) used to prepare annual or quarterly invoices [The actual billing is performed by FEES]

Disposition: TEMPORARY Destroy the data set after transfer to MATANN, and when no longer required for business purposes.

b. Adhoc System Reports

Reports created from the information in LTS/WBL used for the administration of the Materials License programs and as input for various published reports, as needed

Disposition: TEMPORARY Cut off and destroy when no longer required for business purposes

Approval
by
Archivist
not
needed

GRS 20/16

c. NRC Web information

Reports and information published on the NRC Web for use by the NRC staff, licensees, and the public

Disposition: TEMPORARY Cut off and destroy when replaced on the web pages and no longer required for business purposes

4) LTS/WBL System Documentation

System Documentation has been developed for LTS in accordance with NUREG/BR-0167, "Software Quality Assurance Program & Guidelines" The following work products are typical of the documentation developed and which are developed in Rational ClearCase System documentation for WBL will be similar in scope when it is developed.

- Data Dictionary
- Build and Installation Instructions
- Logical Design
- Operational Support Guide
- Physical Design
- Training Material
- User Guide

a. Current Versions of the Documentation

Disposition: TEMPORARY. Retain current revisions of these records in a controlled repository (e g , Rational ClearCase or ADAMS) until development is complete and the software is operational Transfer the final approved versions and subsequent revisions of these documents to ADAMS, or other approved record keeping system, in a format acceptable to the ADAMS administrators and Records Officer Cut off when the documents are superseded Destroy 1 year after cut off.

Approval
by
Archivist
not
needed

GRS 20/11a(1)

b. Final System Documentation

Disposition: TEMPORARY. Cut off documentation when LTS or WBL is decommissioned Destroy or delete the information 2 years after cut off

Approval by Archivist
not needed

GRS 20/11a(1)

Attachment 1

LICENSING TRACKING SYSTEM (LTS) and WEB-BASED LICENSING (WBL)

BACKGROUND

LTS records information concerning the applications, licenses and certifications, including amendments and the related documentation. Licensees submit the requested information, along with the associated fee payment, to the NRC for approval using an appropriate NRC Form, as follows:

- Form 313, "Application for Materials License"
- Form 314, "Certificate of Disposition of Materials"

LTS provides a source of information concerning licensing of the non-reactor use of nuclear byproduct, source and special nuclear materials. LTS provides summary information on these licenses that can be used to create reports and to manage the licensing process. LTS also provides information pertaining to billing and addresses to the MATANN system for NRC licensees. The LTS/WBL was developed and is maintained for the NRC by a contractor.

Documents associated with the Licenses in LTS, such as exempt distribution device transfer reports and license related and inspection communications, are scanned and entered into ADAMS, or other approved record keeping system. These records in ADAMS are permanent records scheduled under N1-431-00-13, Items 6 a, 6 b, 6 c, 6.d and 6 e, "Fuel Facilities, Transportation, Byproduct, Source, Special Nuclear Material Docket Files" [NUREG-0910, Part 17, Item 6 (pages 2 17 10 through 2 17 20)]

The Program Authority for LTS/WBL is 10 CFR 30, 10 CFR 40, 10CFR70 and 10CFR72. Additional guidance on the process for obtaining and renewing materials licenses is available in the appropriate Volume of NUREG-1556, "Consolidated Guidance about Materials Licenses", and Division 10 of the US NRC Regulatory Guides.

Dates: Data ranges from beginning of NRC to present