NOTICE - SOME ITEMS SUPERSEDED OR OBSOLETE

Schedule Number: N1-431-92-001

Some items in this schedule are either obsolete or have been superseded by new NARA approved records schedules. This information is accurate as of: 1/31/2024

ACTIVE ITEMS

These items, unless subsequently superseded, may be used by the agency to disposition records. It is the responsibility of the user to verify the items are still active.

Item 1/A is active.

SUPERSEDED AND OBSOLETE ITEMS

The remaining items on this schedule may no longer be used to disposition records. They are superseded, obsolete, filing instructions, non-records, or were lined off and not approved at the time of scheduling. References to more recent schedules are provided below as a courtesy. Some items listed here may have been previously annotated on the schedule itself.

Item 1/B was superseded by N1-431-00-020 / 11/B/1.

Item 1/C was superseded by N1-431-00-020 / 11/C/1.

Item 1/D was superseded by N1-431-00-020 / 11/E/1.

NOTICE - SOME ITEMS SUPERSEDED OR OBSOLETE

As of 1/31/2024 N1-431-92-001

REQUEST FOR RECORDS DISPOSITION AUTHORITY JO		AVE BLANK (NARA use only)	
(See Instructions on reverse)		NV 431-92-1	
WASHINGTON, DC 20408		ATE RECEIVED	
1. FPOM (Agency or establishment) U.S. Nuclear Regulatory Commission		NOTIFICATION TO AGENCY	
2. MAJOR SUBDIVISION		In accordance with the provisions of 44	
Regional Offices		USC 3303a the disposition request, including amendments, is approved except for items that may be marked "disposition"	
3. MINOR SUBDIVISION		not approved" or "withdrawn"	in column 10
4. NAME OF PERSON WITH WHOM TO CONFER 5. TELEPHONE		ATE ARCHIVIST OF THE UNITED STATES	
John Harris 301/492-4248		1.4-96 John W. Cal	
I hereby certify that I am authorized to act for this agency in matters pertaining to the disposition of its records and that the records proposed for disposal on the attached 4 page(s) are not now needed for the business of this agency or will not be needed after the retention periods specified; and that written concurrence from the General Accounting Office, under the provisions of Title 8 of the GAO Manual for Guidance of Federal Agencies, is not required; is attached; or has been requested. DATE SIGNATURE OF AGENCY REPRESENTATIVE TITLE NRC Records Officer			
7. ITEM 8. DESCRIPTION OF ITEM AND PRO	POSED DISPOSITION	9. GRS OR SUPERSEDED	10. ACTION TAKEN (NARA
NO SESSIM HORS HEMANS THOUSE SESSION		JOB CITATION	USE ONLY)
This records disposition schedule covers textual records of the Nuclear Regulatory Commission that pertain to the inspection and enforcement activities for nuclear power and non-power reactors, byproduct, source, and special nuclear material licenses. It replaces the original schedule approved under NC1-431-81-5, schedule 4, part 4, item 6, in order to describe decommissioning records as a separate collection and provide for their permanent retention. These changes are made in response to GAO's recommendation that decommissioning records be retained longer than ten years and conform with the requirements		NC1-431-81-5. schedule 4, part 4, item 6.	
prescribed in NRC's policy document SECY-90-316, "Decommissioning Records Plan," copy attached. Non-permanent records will be retained 20 years.			
Items 6.b(3) and 6.d are rewritten as new items 1.e and 1.f. and item 6.c is deleted, in order to reflect internal reorganizations and the discontinuance of the I&E Office.			
Schedule attached.			

115-109

APR -9 1996 MH

NSN 7540-00-634-4064
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NNT, NIA NIA

STANDARD FORM 115 (REV. 3-91) Prescribed by NARA 36 CFR 1228

ITEM NO. DESCRIPTION OF RECORDS

AUTHORIZED DISPOSITION

1. <u>Inspection and Enforcement Case Files</u>

Files documenting the inspection activity and enforcement actions relating to power and nonpower reactors, byproduct, source and special nuclear material licenses, including copy of license, inspection and follow-up reports, inspectors' notes, enforcement action documents, final certification that premises are free of radiological contamination, and related documentation. Decommissioning records for this series are permanent and require maintenance as a subset of each file as specified in SECY-90-316. Reference item c. below for authorized disposition. Post 1983 regional inspection and enforcement documentation for byproduct, source, and special nuclear material, are retained with the licensing case file and follow the authorized disposition for material licensing case files (N1-431-92-3, Items 1.b, c, and d.). For the purposes of applying the authorized disposition instructions, a withdrawn or denied license application is considered terminated on the date of withdrawal or denial.

- a. Regional inspection and enforcement case files for material licenses terminated 1965 and prior.
- b. Official case files including the decommissioning segment, except for those described in item a. above, located at Regional offices determined by the NRC to have exceptional value because of the historical significance of their contents or their uniqueness. Included are those inspection and enforcement case files that relate to the docket numbers retained permanently under NRC Schedule N1-431-92-2, Item 1.a, and N1-431-92-3. Item 1.b., i.e., those files that:

<u>Permanent.</u> Transfer to NARA at end of FY 1996.

Permanent. Retain current fiscal year and last four years in Regional file room. Retire, by facility, to WNRC through IRM annually if the records consist of at least one cubic foot and are over five years old. Closing date is the termination date following completion of decommissioning procedure (which is the license date plus 40 years). Transfer to NARA 20 years after termination of license.

1

Changes per discussion with John Faibisy, NARA. John Harris 2/29/46

ITEM <u>NO.</u>

DESCRIPTION OF RECORDS

- 1 result in judicial decisions of legislation that affect the functions and activities of NRC,
- 2. result in significant changes in regulatory activities and procedures, or
- 3. were the subject of Congressional investigation or were of great public interest.
- Decommissioning records segment of C. disposable inspection and enforcement case files relating to power and non-power reactors located at Regional offices consisting of Regional records relating to the following types of documents:
 - 1. Application for Possession-Only license.
 - Possession-Only license 2. amendment and any associated technical specifications (TS).
 - 3. Decommissioning or Dismantling Plan and associated TS changes.
 - 4. Requests for additional information on applications for Possession-Only licenses, Decommissioning and Dismantling Plans, and responses from licensee.
 - 5. Federal Register Notices for applications for Possession-Only licenses and Decommissioning and Dismantling Plans.
 - 6. Decommissioning and Dismantling Orders.
 - 7. Final site surveys by licensee.

AUTHORIZED DISPOSITION

Permanent. Retain current fiscal year and last four years in Regional file room. Retire, by facility, to WNRC through IRM annually if the records consist of at least one cubic foot and are over five years old. Closing date is termination date following completion of decommissioning procedure (which is the license date plus 40 years). Transfer to NARA 20 years after termination of license.

2

ITEM NO.

DESCRIPTION OF RECORDS

AUTHORIZED DISPOSITION

- 8. Final site surveys by regional inspectors.
- All license amendments and associated TS changes following the initial application by the licensee for Possession-Only license.
- 10. All documents related to financial assurance for decommission, including decommissioning funding plans, certifications of financial assurance for decommissioning, related cost estimates, and records of funding methods.
- 11. Records of spills and other unusual occurrences involving the spread of contamination in and around the facility, equipment, or site.
- 12. License termination orders and associated safety evaluations.
- 13. As-built drawings and modifications of structures and equipment in restricted areas where radioactive materials were used or stored and locations of possible inaccessible contamination.
- 14. Any additional documents which refer to decommissioning, decontamination, or termination of the license, including interim or partial decommissioning of specific facilities at any time during the history of licensed operations.
- 15. Any enforcement documents related to decommissioning and decontamination activities.

ITEM NO. DESCRIPTIO

DESCRIPTION OF RECORDS

AUTHORIZED DISPOSITION

Decommissioning records segment of disposable inspection and enforcement case files relating to post 1983 Regional Fuel Facilities, Transportation, Byproduct, source, and Special Nuclear Material licenses are filed with the related material licensing case files. Reference the records schedules for the material licensing case files contained in N1-431-92-3, items 1.b, c, and d, for the material licensing decommissioning records types and their authorized disposition.

d. Other case files in Regional offices that do not meet criteria for permanent retention, excluding the decommissioning segment.

Retain current fiscal year and last four years in Regional file room. Retire, by facility, to WNRC through IRM annually if the records consist of at least one cubic foot and are over five years old. Closing date is the termination date following completion of decommissioning procedure (which is the same as license date plus 40 years). Destroy 20 years after termination of license.

e. All other copies in NMSS_NRR_and_ Regional offices. Nonrecord: Destroy when 1 year old or earlier if no longer needed.