

NOTICE - SOME ITEMS SUPERSEDED OR OBSOLETE

Schedule Number: N1-431-92-002

Some items in this schedule are either obsolete or have been superseded by new NARA approved records schedules. This information is accurate as of: 1/31/2024

ACTIVE ITEMS

These items, unless subsequently superseded, may be used by the agency to disposition records. It is the responsibility of the user to verify the items are still active.

Items 1/A and 1/C are active.

SUPERSEDED AND OBSOLETE ITEMS

The remaining items on this schedule may no longer be used to disposition records. They are superseded, obsolete, filing instructions, non-records, or were lined off and not approved at the time of scheduling. References to more recent schedules are provided below as a courtesy. Some items listed here may have been previously annotated on the schedule itself.

Item 1/B was superseded by N1-431-00-008 / 9/B/1.

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|--|--|--|--|
| REQUEST FOR RECORDS DISPOSITION AUTHORITY <i>(See Instructions on reverse)</i> | | DO NOT WRITE IN THESE SPACES (NARA use only) | |
| TO NATIONAL ARCHIVES and RECORDS ADMINISTRATION (NIR) WASHINGTON, DC 20408 | | JOB NUMBER <i>NI-431-922</i> | |
| 1. FROM (Agency or establishment) U.S. Nuclear Regulatory Commission | | DATE RECEIVED <i>11-4-91</i> | |
| 2. MAJOR SUBDIVISION Office of Nuclear Reactor Regulation | | NOTIFICATION TO AGENCY In accordance with the provisions of 44 U.S.C. 3303a the disposition request, including amendments, is approved except for items that may be marked "disposition not approved" or "withdrawn" in column 10 | |
| 3. MINOR SUBDIVISION | | | |
| 4. NAME OF PERSON WITH WHOM TO CONFER John Harris | | | |
| 5. TELEPHONE 301/492-4248 | | DATE <i>4-4-96</i> | |
| 6. AGENCY CERTIFICATION I hereby certify that I am authorized to act for this agency in matters pertaining to the disposition of its records and that the records proposed for disposal on the attached <u>4</u> page(s) are not now needed for the business of this agency or will not be needed after the retention periods specified; and that written concurrence from the General Accounting Office, under the provisions of Title 8 of the GAO Manual for Guidance of Federal Agencies, <input type="checkbox"/> is not required; <input type="checkbox"/> is attached; or <input checked="" type="checkbox"/> has been requested. | | ARCHIVIST OF THE UNITED STATES <i>John W. Carl</i> | |
| | | DATE <i>10/31/91</i> | |
| SIGNATURE OF AGENCY REPRESENTATIVE <i>Brenda Jo. Shelton</i> Brenda Jo. Shelton | | TITLE NRC Records Officer | |

| 7. ITEM NO. | 8. DESCRIPTION OF ITEM AND PROPOSED DISPOSITION | 9. GRS OR SUPERSEDED JOB CITATION | 10. ACTION TAKEN (NARA USE ONLY) |
|-------------|--|--|----------------------------------|
| | <p>This records disposition schedule covers textual records of the Nuclear Regulatory Commission that pertain to nuclear power and non-power reactor docket files. This schedule replaces the original schedule approved under NC1-431-81-5, schedule 1, part 3, items 20.a and 20.b in order to describe decommissioning records as a separate collection and ensure their permanent retention. Items 20.c and 20.d remain unchanged and become items d. and e.</p> <p>These changes are made in response to GAO's recommendation that decommissioning records be retained longer than ten years, as described in NRC's SECY-90-316, "Decommissioning Records Plan," copy attached.</p> <p>Schedule attached.</p> | NC1-431-81-5, schedule 1, part 3, items 20.a and 20.b. | |

APR - 9 1996 *copy to: agency, NCF*
NT, NIA

ITEM

NO. DESCRIPTION OF RECORDSAUTHORIZED DISPOSITION1. Nuclear Power Plant Docket Files

Records documenting licensing of the construction and operation of commercial nuclear reactors for power generation and non-power reactors pursuant to Parts 2, 20, 50, 100, and 140 of Title 10, Code of Federal Regulations. Material consists of individual applicant case files, identified by assigned docket numbers, containing copies of all documents received or generated in review, evaluation, and authorization stages of the licensing process. Each operating license issued is for a fixed time period specified in the license document but in no case exceeds 40 years. Licenses may be renewed by the NRC upon the expiration of the initially authorized operating period. Decommissioning records for these licenses are permanent and require maintenance as a subset of each case file as specified in SECY 90-316. Reference item b. below for authorized disposition.

a. Official docket files of the Office of Nuclear Reactor Regulation, including the decommissioning segment, located at the NRC File Center, determined by the NRC to have exceptional value because of the historical significance of their contents or their uniqueness. Included are files that:

1. result in judicial decisions or legislation that affect the functions and activities of NRC, e.g., Enrico Fermi No. 1 (Power Reactor Demonstration Co. Reactor),
2. result in significant changes in regulatory activities and procedures, e.g., Brown's Ferry, or
3. were the subject of Congressional investigation or were of great public

Permanent. Retain current fiscal year and last four years in NRC File Center. Retire, by facility, to WNRC through IRM annually if the records consist of at least one cubic foot and are over five years old. Closing date is the termination date following completion of decommissioning procedure (which is the license date plus 40 years). Transfer to NARA 20 years after termination of license.

Changes per discussion with John Fairbry, NARA. JFH 2/29/96

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NO. DESCRIPTION OF RECORDSAUTHORIZED DISPOSITION

interest, e.g., TMI.

- b. Decommissioning records segment of disposable licensing case files located at NRC File Center consisting of the following types or records:

1. Application for Possession-Only license.
2. Possession-Only license amendment and any associated technical specifications (TS).
3. Decommissioning or Dismantling Plan and associated TS changes.
4. Requests for additional information on applications for Possession-Only licenses, Decommissioning and Dismantling Plans, and responses from licensee.
5. Federal Register Notices for applications for Possession-Only licenses and Decommissioning and Dismantling Plans.
6. Decommissioning and Dismantling Orders.
7. Final site surveys by licensee.
8. Final site surveys by regional inspectors.
9. All license amendments and associated TS changes following the initial application by the licensee for Possession-Only license.
10. All documents related to financial

Permanent. Retain current fiscal year and last four years in NRC File Center. Retire, by facility, to WNRC through IRM annually if the records consist of at least one cubic foot and are over five years old. Closing date is the termination date following completion of decommissioning procedure (which is the license date plus 40 years). Transfer to NARA 20 years after termination of license.

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- assurance for decommissioning, including decommissioning funding plans, certifications of financial assurance for decommissioning, related cost estimates, and records of funding methods.
11. Records of spills and other unusual occurrences involving the spread of contamination in and around the facility, equipment, or site.
 12. License termination orders and associated safety evaluations.
 13. As-built drawings and modifications of structures and equipment in restricted areas where radioactive materials were used or stored and locations of possible inaccessible contamination.
 14. Any additional documents which refer to decommissioning, decontamination, or termination of the license, including interim or partial decommissioning of specific facilities at any time during the history of licensed operations.
 15. Any enforcement documents related to decommissioning and decontamination activities.

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- c. Other docket files of NRR, excluding the decommissioning segment, that do not meet the criteria for permanent retention.

Retain current fiscal year and last four years in NRC File Center. Retire, by facility, to WNRC through IRM annually if the records consist of at least one cubic foot and are over five years old. Closing date is the termination date following completion of decommissioning procedure (which is the license date plus 40 years). Destroy 20 years after termination of license.

Changes per discussion with John Fairbairn, NARA. Jan 2/29/96