NOTICE - SOME ITEMS SUPERSEDED OR OBSOLETE

Schedule Number: N1-431-92-003

Some items in this schedule are either obsolete or have been superseded by new NARA approved records schedules. This information is accurate as of: <u>1/31/2024</u>

ACTIVE ITEMS

These items, unless subsequently superseded, may be used by the agency to disposition records. It is the responsibility of the user to verify the items are still active.

Item 1A is active.

SUPERSEDED AND OBSOLETE ITEMS

The remaining items on this schedule may no longer be used to disposition records. They are superseded, obsolete, filing instructions, non-records, or were lined off and not approved at the time of scheduling. References to more recent schedules are provided below as a courtesy. Some items listed here may have been previously annotated on the schedule itself.

Item 1/B was superseded by N1-431-00-013 / 6/B/1 and N1-431-00-020 / 6/B/1.

Item 1/C was superseded by N1-431-00-020 / 6/C/1.

Item 1/D was superseded by N1-431-00-020 / 6/E/1.

NOTICE - SOME ITEMS SUPERSEDED OR OBSOLETE

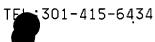
As of 1/31/2024 N1-431-92-003

115-109

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APR - 9 1996 MW

Copy to: agency, NCI



ITEM NO. - DESCRIPTION OF RECORDS

<u>AUTHORIZED DISPOSITION</u>

1. Fuel Facilities, Transportation, Byproduct. Source. and Special Nuclear Material Docket Files

> Case files documenting the licensing of persons, institutions, facilities or companies to use byproduct, source, and special nuclear material, and to transport nuclear material as defined in 10 CFR Parts 30, 40, 50, 70, and 71. Included are the application, license and amendments, and all related licensing documentation. Decommissioning records for these licenses are permanent and require maintenance as a subset of each case file as specified in SECY 90-316. Reference Item c. below for authorized disposition. Excluded are case files (under 10 CFR Part 40) with license prefix of "SUA" which are covered by the High-Level, Low-Level, and Uranium Recovery Docket File schedule (NRCS 2-28.1). Post 1983 Regional case files include the Inspection and Enforcement Case File documentation described in the Inspection and Enforcement Case File schedule (N1-431-92-1, Items 1.b, c, and d.), and are retained as one case file under this schedule. For the purposes of applying the authorized disposition instructions, a withdrawn or denied license application is considered terminated on the date of withdrawal or denial.

The Office of Nuclear Material Safety and a. Safeguard's (NMSS) terminated official licensing case files consisting of NRC Archival Facility job numbers 1700, 1708-1710, and 1722, (originally 379-383), that were reviewed by the Oak Ridge National Laboratory during 1977 through 1980 to ensure properly documented license terminations.

Permanent. Transfer to NARA at end of FY 1996.

ITEM

NO. DESCRIPTION OF RECORDS

- Official case files of the NMSS and the b. Regional offices including the decommissioning segment, except for those described in item a, above, determined by the NRC to have exceptional value because of the historical significance of their contents or their uniqueness. Included are files that:
 - 1. result in judicial decisions or legislation that affect the functions and activities of NRC.
 - 2. result in significant changes in regulatory activities and procedures, or
 - 3_ were the subject of Congressional investigation or were of great public interest.
- Decommissioning records segment of C. disposable licensing case files located at the File Center and Regional offices, consisting of the following types of documents:
 - 1. All license applications, amendment requests, and renewal requests.
 - 2. Complete license, including all amendments.
 - 3. Termination amendment.
 - Licensee request for license 4. termination and all supporting documentation including plans for completion of decommissioning.
 - 5. Forms dealing with disposition of material (NRC/AEC Form 314, AEC Form HQ-277, and other forms) and/or letters from licensees

AUTHORIZED DISPOSITION

Permanent. Cut off upon license termination, following completion of decommissioning procedure. Retire to WNRC through IRM at end of fiscal year. Transfer to NARA 20 years after termination of license.

Permanent. Cut off upon license termination following completion of decommissioning procedure. Retire to WNRC through IRM at end of fiscal year. Transfer to NARA 20 years after termination.

Changes per discussion with John Faibisy, NARA. Jak 3/29/96

ITEM

NO. DESCRIPTION OF RECORDS

AUTHORIZED DISPOSITION

dealing with disposition and status of material.

- 6. Reports of NRC closeout inspections.
- 7. Letter of certification from NRC official stating that license can be terminated.
- 8. Any closeout survey by NRC, the licensee, or a contractor working for either NRC or the licensee.
- Any additional documents dealing with disposition of waste or other material or residual contamination on the site, including records of onsite burials.
- 10. All documents related to financial assurance for decommissioning, including decommissioning funding plans, certifications of financial assurance for decommissioning, related cost estimates, and records of funding methods.
- 11. Records of spills and other unusual occurrences involving the spread of contamination in and around the facility, equipment, or site.
- 12. As-built drawings and modifications of structures and equipment in restricted areas where radioactive materials were used or stored and locations of possible inaccessible contamination.
- Any additional documents which refer to decommissioning, decontamination, or termination of the license, including interim or

ITEM

NO. DESCRIPTION OF RECORDS

AUTHORIZED DISPOSITION

partial decommissioning or specific facilities at any time during the history of licensed operations.

- Any enforcement documents related to decommissioning and decontamination activities.
- đ. Other case files, excluding the decommissioning segment, that do not meet the criteria for permanent retention.

Headquarters and Regional case files covering licensees for which licensing jurisdiction is transferred to a State under agreement covering transfer of function.

[Item e withdrawn by NRC]

Cut off upon license termination, following completion of decommissioning procedure. Retire to WNRC through IRM at end of fiscal year. Destroy 20 years after termination of license.

Transfer official case files to Agreement State. Prior to transfer, create a copy of the decommissioning portion of the files for permanent retention. Retire copy to IRM at time of records transfer to Agreement State. Case files returned to NRC by Agreement State relinquishing licensing authority supersede the duplicate copy retained by NRC; remove duplicate case files from retirement and destroy when official case files are returned to NRC by Agreement State. Transfer remaining files to NARA 20 years after official file istransferred to the Agreement State.