

Request for Records Disposition Authority

Records Schedule Number	DAA-0600-2017-0007
Schedule Status	Approved
Agency or Establishment	National Indian Gaming Commission
Record Group / Scheduling Group	Records of the National Indian Gaming Commission
Records Schedule applies to	Major Subdivision
Major Subdivision	Division of Finance
Minor Subdivision	Accounting
Schedule Subject	Tribal Related Payments and Deposits to National Indian Gaming Commission
Internal agency concurrences will be provided	No

Background Information The National Indian Gaming Commission (NIGC) was created in 1988 with the passage of the Indian Gaming Regulatory Act (IGRA), which was enacted to support and promote tribal economic development, self-sufficiency, and strong tribal governments through the operation of gaming on Indian lands. The Act provides a statutory basis for the federal regulation of Indian gaming. IGRA establishes the Commission to regulate and support tribal gaming as a means of generating revenue for tribal communities. See 25 U.S.C. § 2704.

The NIGC is committed to fulfilling its responsibilities by:

- regulating and monitoring certain aspects of Indian gaming;
- coordinating its regulatory responsibilities with tribal regulatory agencies
- providing training and technical assistance to tribal regulatory agencies;
- reviewing and approving tribal gaming ordinances and management agreements;
- reviewing the backgrounds of individuals and entities to ensure the suitability of those seeking to manage or invest in Indian gaming;
- overseeing and reviewing the conduct and regulation of Indian gaming operations;
- enforcing violations against the IGRA and its regulations; and
- referring law enforcement matters to appropriate tribal, Federal, and state entities.

The NIGC is comprised of a Chair, appointed by the President and confirmed by the Senate, and two additional commissioners appointed by the Secretary of the Interior. The NIGC's organizational structure is composed of two main branches, the Office of General Counsel which handles legal affairs, and the Office of the Chief of Staff, which manages day-to-day activities and includes the Division of Compliance, Division of Finance, Division of Public Affairs, and the Division of Technology.

Item Count

Number of Total Disposition Items	Number of Permanent Disposition Items	Number of Temporary Disposition Items	Number of Withdrawn Disposition Items
6	0	6	0

GAO Approval

Outline of Records Schedule Items for DAA-0600-2017-0007

Sequence Number	
1	Tribal Gaming Fee Payments and Deposits Disposition Authority Number: DAA-0600-2017-0007-0001
2	Tribal Gaming Fee Statements Disposition Authority Number: DAA-0600-2017-0007-0002
3	Tribal Fingerprint Fees Disposition Authority Number: DAA-0600-2017-0007-0003
4	Tribal Fingerprint Fee Statements Disposition Authority Number: DAA-0600-2017-0007-0004
5	Miscellaneous Fee Payments Disposition Authority Number: DAA-0600-2017-0007-0005
6	Miscellaneous Fee Statements Disposition Authority Number: DAA-0600-2017-0007-0006

Records Schedule Items

Sequence Number	
1	<p>Tribal Gaming Fee Payments and Deposits</p> <p>Disposition Authority Number DAA-0600-2017-0007-0001</p> <p>As required by §514.6, Fee payments received by NIGC from tribes including fee worksheets, copies of checks. To include any related correspondence.</p> <p>Final Disposition Temporary</p> <p>Item Status Active</p> <p>Is this item media neutral? Yes</p> <p>Do any of the records covered by this item currently exist in electronic format(s) other than e- mail and word processing? No</p> <p>GRS or Superseded Authority N1-220-97-6 / 42 Citation N1-220-97-6 / 44</p> <p>Disposition Instruction</p> <p>Cutoff Instruction Cutoff at end of calendar year.</p> <p>Retention Period Destroy 10 year(s) after cutoff</p> <p>Additional Information</p> <p>GAO Approval Not Required</p>
2	<p>Tribal Gaming Fee Statements</p> <p>Disposition Authority Number DAA-0600-2017-0007-0002</p> <p>NIGC generated fee statements that summarize the status of tribes' payment of NIGC fees</p> <p>Final Disposition Temporary</p> <p>Item Status Active</p> <p>Is this item media neutral? Yes</p> <p>Do any of the records covered by this item currently exist in electronic format(s) other than e- mail and word processing? No</p> <p>Disposition Instruction</p> <p>Cutoff Instruction Cutoff at end of calendar year.</p>

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Retention Period Destroy 10 year(s) after cutoff

Additional Information

GAO Approval Not Required

Tribal Fingerprint Fees

Disposition Authority Number DAA-0600-2017-0007-0003

Files of fee payments for fingerprint processing including checks and any related correspondence.

Final Disposition Temporary

Item Status Active

Is this item media neutral? Yes

Do any of the records covered by this item currently exist in electronic format(s) other than e-mail and word processing? No

Disposition Instruction

Cutoff Instruction Cutoff at end of calendar year.

Retention Period Destroy 10 year(s) after cutoff

Additional Information

GAO Approval Not Required

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Tribal Fingerprint Fee Statements

Disposition Authority Number DAA-0600-2017-0007-0004

NIGC generated fingerprint statements that summarize the status of tribal payment of fingerprint fees

Final Disposition Temporary

Item Status Active

Is this item media neutral? Yes

Do any of the records covered by this item currently exist in electronic format(s) other than e-mail and word processing? No

Disposition Instruction

Cutoff Instruction Cutoff at end of calendar year.

Retention Period Destroy 10 year(s) after cutoff

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Additional Information

GAO Approval Not Required

Miscellaneous Fee Payments

Disposition Authority Number DAA-0600-2017-0007-0005

Any other fee payments excluding Tribal Gaming Fee Payments and Tribal Fingerprint Fee Payments.

Final Disposition Temporary

Item Status Active

Is this item media neutral? Yes

Do any of the records covered by this item currently exist in electronic format(s) other than e-mail and word processing? No

Disposition Instruction

Cutoff Instruction Cutoff at end of calendar year.

Retention Period Destroy 10 year(s) after cutoff

Additional Information

GAO Approval Not Required

Miscellaneous Fee Statements

Disposition Authority Number DAA-0600-2017-0007-0006

NIGC generated statements that summarize the status of miscellaneous fee payments

Final Disposition Temporary

Item Status Active

Is this item media neutral? Yes

Do any of the records covered by this item currently exist in electronic format(s) other than e-mail and word processing? No

Disposition Instruction

Cutoff Instruction Cut off at end of calendar year

Retention Period Destroy 10 year(s) after cut off

Additional Information

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	GAO Approval	Not Required
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Agency Certification

I hereby certify that I am authorized to act for this agency in matters pertaining to the disposition of its records and that the records proposed for disposal in this schedule are not now needed for the business of the agency or will not be needed after the retention periods specified.

Signatory Information

Date	Action	By	Title	Organization
03/28/2017	Certify	Tim Osumi	Records Manager	Technology Division - Records Management Program
06/21/2017	Return for Revision	Valerie Terray	Archives Specialist	National Archives and Records Administration - Records Management Services
08/22/2017	Submit For Certification	Tim Osumi	Records Manager	Technology Division - Records Management Program
08/22/2017	Certify	Tim Osumi	Records Manager	Technology Division - Records Management Program
10/26/2017	Submit for Concurrence	Valerie Terray	Archives Specialist	National Archives and Records Administration - Records Management Services
10/27/2017	Concur	Margaret Hawkins	Director of Records Management Services	National Records Management Program - ACNR Records Management Services
10/27/2017	Concur	Margaret Hawkins	Director of Records Management Services	National Records Management Program - ACNR Records Management Services
10/30/2017	Approve	David Ferriero	Archivist of the United States	Office of the Archivist - Office of the Archivist