Records Schedule Number	DAA-0600-2017-0007
Schedule Status	Approved
Agency or Establishment Record Group / Scheduling Group	National Indian Gaming Commission Records of the National Indian Gaming Commission
Records Schedule applies to	Major Subdivsion
Major Subdivision	Division of Finance
Minor Subdivision	Accounting
Schedule Subject	Tribal Related Payments and Deposits to National Indian Gaming Commission
Internal agency concurrences will be provided	No .
Background Information	The National Indian Gaming Commission (NIGC) was created in 1988 with the passage of the Indian Gaming Regulatory Act (IGRA), which was enacted to support and promote tribal economic development, self-sufficiency, and strong tribal governments through the operation of gaming on Indian lands. The Act provides a statutory basis for the federal regulation of Indian gaming. IGRA establishes the Commission to regulate and support tribal gaming as a means of generating revenue for tribal communities. See 25 U.S.C. § 2704. The NIGC is committed to fulfilling its responsibilities by: • regulating and monitoring certain aspects of Indian gaming; • coordinating its regulatory responsibilities with tribal regulatory agencies • providing training and technical assistance to tribal regulatory agencies; • reviewing and approving tribal gaming ordinances and management agreements; • reviewing the backgrounds of individuals and entities to ensure the suitability of those seeking to manage or invest in Indian gaming; • overseeing and reviewing the conduct and regulation of Indian gaming operations; • enforcing violations against the IGRA and its regulations; and • referring law enforcement matters to appropriate tribal, Federal, and
	state entities.

# **Request for Records Disposition Authority**

The NIGC is comprised of a Chair, appointed by the President and confirmed by the Senate, and two additional commissioners appointed by the Secretary of the Interior. The NIGC's organizational structure is composed of two main branches, the Office of General Counsel which handles legal affairs, and the Office of the Chief of Staff, which manages day-to-day activities and includes the Division of Compliance, Division of Finance, Division of Public Affairs, and the Division of Technology.

#### Item Count

Number of Total Disposition Items			Number of Withdrawn Disposition Items
6	0	6	0

GAO Approval

## Outline of Records Schedule Items for DAA-0600-2017-0007

Sequence Number	
1	Tribal Gaming Fee Payments and Deposits Disposition Authority Number: DAA-0600-2017-0007-0001
2	Tribal Gaming Fee Statements Disposition Authority Number: DAA-0600-2017-0007-0002
3	Tribal Fingerprint Fees Disposition Authority Number: DAA-0600-2017-0007-0003
4	Tribal Fingerprint Fee Statements Disposition Authority Number: DAA-0600-2017-0007-0004
5	Miscellaneous Fee Payments Disposition Authority Number: DAA-0600-2017-0007-0005
6	Miscellaneous Fee Statements Disposition Authority Number: DAA-0600-2017-0007-0006

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### Records Schedule Items

Sequence Number			
1	Tribal Gaming Fee Payments and Deposits		
	Disposition Authority Number	DAA-0600-2017-0007-0001	
	As required by §514.6, Fee payments received by NIGC from tribes including fee worksheets, copies of checks. To include any related correspondence.		
	Final Disposition	Temporary	
	Item Status	Active	
	Is this item media neutral?	Yes	
	Do any of the records covered by this item currently exist in electronic format(s) other than e- mail and word processing?	No ·	
	GRS or Superseded Authority Citation	N1-220-97-6 / 42 N1-220-97-6 / 44	
	Disposition Instruction		
	Cutoff Instruction	Cutoff at end of calendar year.	
	Retention Period	Destroy 10 year(s) after cutoff	
	Additional Information		
	GAO Approval	Not Required	
2	Tribal Gaming Fee Statemen	ts	
	Disposition Authority Number	DAA-0600-2017-0007-0002	
	NIGC generated fee statements that summarize the status of tribes' payment of NIGC fees		
	Final Disposition	Temporary	
	Item Status	Active	
	Is this item media neutral?	Yes	
	Do any of the records covered by this item currently exist in electronic format(s) other than e- mail and word processing?	Νο	
	Disposition Instruction		
	Cutoff Instruction	Cutoff at end of calendar year.	

	Retention Period	Destroy 10 year(s) after cutoff	
	Additional Information		
	GAO Approval	Not Required	
3	Tribal Fingerprint Fees		
	Disposition Authority Number	DAA-0600-2017-0007-0003	
	Files of fee payments for fingerprint processing including checks and any related correspondence.		
	Final Disposition	Тетрогагу	
	Item Status	Active	
	Is this item media neutral?	Yes	
· ·	Do any of the records covered by this item currently exist in electronic format(s) other than e- mail and word processing?	Νο	
	Disposition Instruction		
	Cutoff Instruction	Cutoff at end of calendar year.	
	Retention Period	Destroy 10 year(s) after cutoff	
	Additional Information		
	GAO Approval	Not Required	
4	Tribal Fingerprint Fee Statem	nents	
	Disposition Authority Number	DAA-0600-2017-0007-0004	
	NIGC generated fingerprint statements that summarize the status of tribal payment of fingerprint fees		
	Final Disposition	Тетрогагу	
	Item Status	Active	
	Is this item media neutral?	Yes	
	Do any of the records covered by this item currently exist in electronic format(s) other than e- mail and word processing?	Νο	
•	Disposition Instruction		
	Cutoff Instruction	Cutoff at end of calendar year.	
	Retention Period	Destroy 10 year(s) after cutoff	

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	Additional Information			
	GAO Approval	Not Required		
5	Miscellaneous Fee Payment	•		
	Disposition Authority Number	- DAA-0600-2017-0007-0005		
	Any other fee payments excluding Tribal Gaming Fee Payments and Tribal Fingerprint Fee Payments.			
	- Final Disposition	Тетрогагу		
	Item Status	Active		
	Is this item media neutral?	Yes		
	Do any of the records covered by this item currently exist in electronic format(s) other than e- mail and word processing?	Νο		
	Disposition Instruction			
	Cutoff Instruction	Cutoff at end of calendar year.		
	Retention Period	Destroy 10 year(s) after cutoff		
	Additional Information			
	GAO Approval	Not Required		
6	Miscellaneous Fee Statements			
	Disposition Authority Number	DAA-0600-2017-0007-0006		
	NIGC generated statements that summarize the status of miscellaneous fee payments			
	Final Disposition	Temporary		
	Item Status	Active		
	Is this item media neutral?	Yes		
	Do any of the records covered by this item currently exist in electronic format(s) other than e- mail and word processing?	Νο		
	Disposition Instruction			
	Cutoff Instruction	Cut off at end of calendar year		
	Retention Period	Destroy 10 year(s) after cut off		
	Additional Information			

GAO Approval

Not Required

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### Agency Certification

I hereby certify that I am authorized to act for this agency in matters pertaining to the disposition of its records and that the records proposed for disposal in this schedule are not now needed for the business of the agency or will not be needed after the retention periods specified.

#### Signatory Information

Date	Action	By	Title	Organization
03/28/2017	Certify	Tim Osumi	Records Manager	Technology Division - Records Management Program
06/21/2017	Return for Revisio n	Valerie Terray	Archives Specialist	National Archives and Records Administration - Records Management Services
08/22/2017	Submit For Certific ation	Tim Osumi	Records Manager	Technology Division - Records Management Program
08/22/2017	Certify	Tim Osumi	Records Manager	Technology Division - Records Management Program
10/26/2017	Submit for Concur rence	Valerie Terray	Archives Specialist	National Archives and Records Administration - Records Management Services
10/27/2017	Concur	Margaret Hawkins	Director of Records Management Servic es	National Records Management Program - ACNR Records Management Serivces
10/27/2017	Concur	Margaret Hawkins	Director of Records Management Servic es	National Records Management Program - ACNR Records Management Serivces
10/30/2017	Approve	David Ferriero	Archivist of the Unite d States	Office of the Archivist - Office of the Archivist